

Theoretical approaches to the ab-initio study of complex systems

Olivia Pulci

European Theoretical Spectroscopy Facility (ETSF),
and CNR-INFN,
Dipartimento di Fisica Università di Roma Tor Vergata

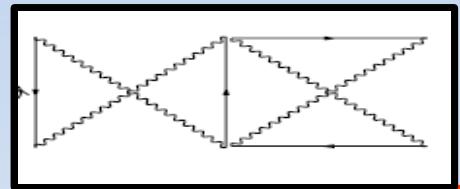


<http://www.fisica.uniroma2.it/~cmtheo-group>
<http://www.etsf.eu>

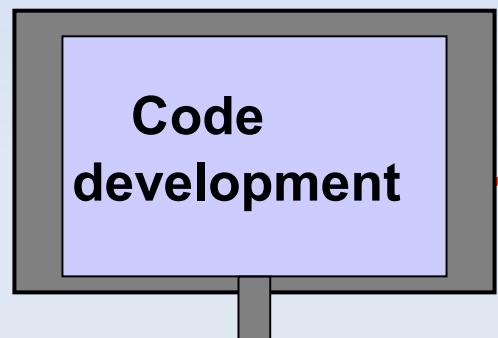


**Olivia Pulci, Maurizia Palummo, Conor Hogan, Andrea Marini, Margherita Marsili,
Viviana Garbuio, Adriano Mosca Conte, Elena Cannuccia, Rodolfo Del Sole**

Group activities



Theory

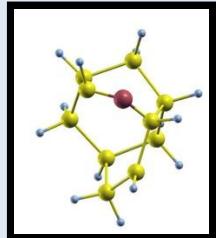


Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

- Generality, transferability 0D-3D
- detailed physical informations

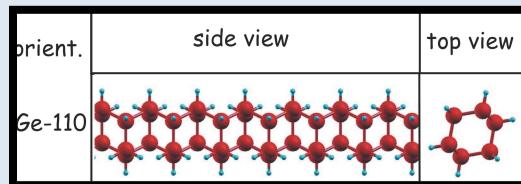


0-D



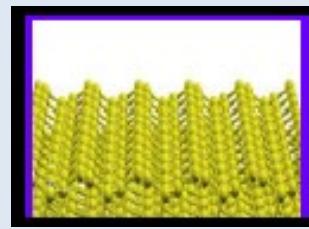
Nanoclusters

1-D



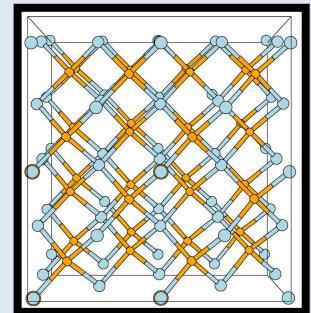
Nanowires

2-D

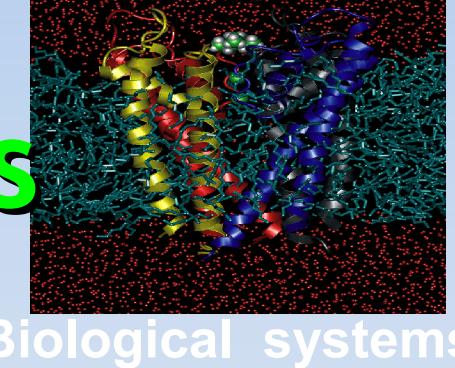


Surfaces

3-D



bulks



Biological systems

Olivia Pulci, Maurizia Palummo, Conor Hogan, Andrea Marini, Margherita Marsili,
Viviana Garbuio, Adriano Mosca Conte, Elena Cannuccia, **Rodolfo Del Sole**



European Theoretical Spectroscopy Facility

an initiative of the

 **nanoquanta**
Network of Excellence





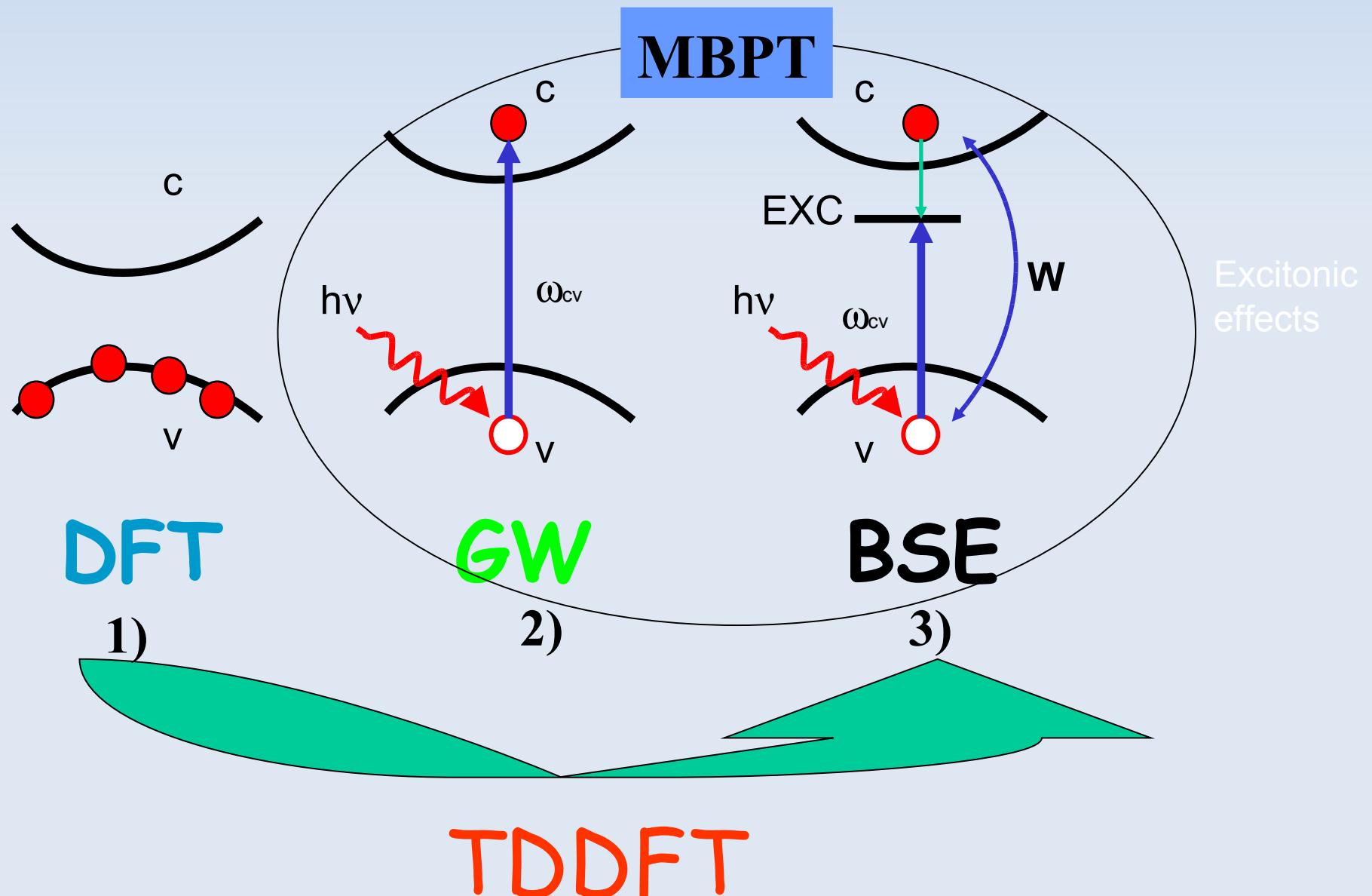
European Theoretical Spectroscopy Facility

an initiative of the

 **Nanoquanta**
Network of Excellence



METHODS



Theory development:

- **MB kernel for TDDFT**

(M. Marsili, A. Marini, R. Del Sole)

PRB2003, PRL2003, PRB2007

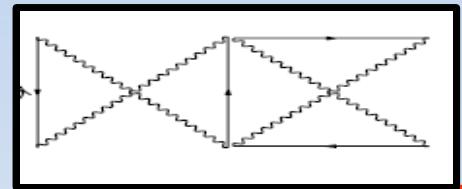
- **Electron-phonon coupling** (A. Marini)

A. Marini Phys. Rev. Lett. **101**, 106405 (2008)

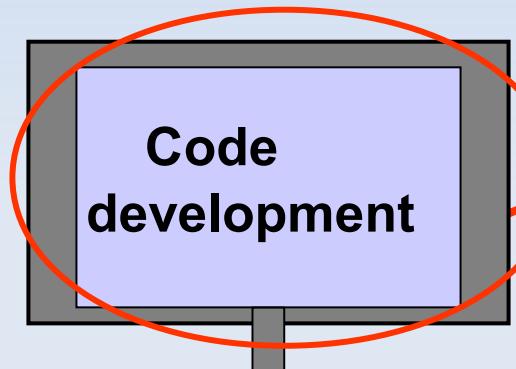
- **Vertex corrections** (M. Marsili, R. Del Sole)

M. Marsili, R. Del Sole, in preparation

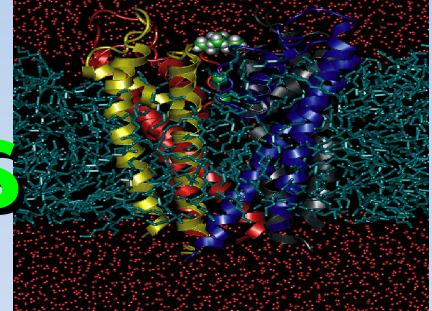
Group activities



Theory



Code development



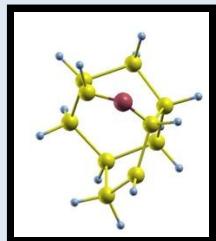
Biological systems

Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

- Generality, transferability 0D-3D
- detailed physical informations

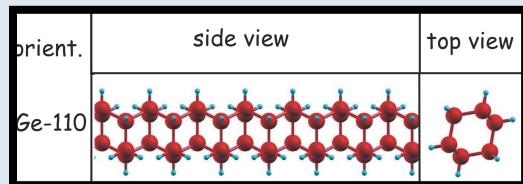


0-D



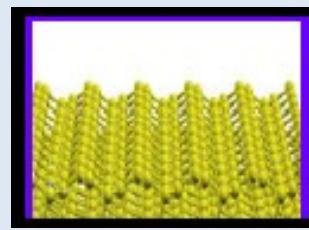
Nanoclusters

1-D



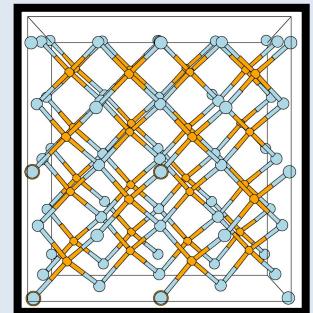
Nanowires

2-D



Surfaces

3-D



bulks

Olivia Pulci, Maurizia Palummo, Conor Hogan, Andrea Marini, Margherita Marsili,
Viviana Garbuio, Adriano Mosca Conte, Elena Cannuccia, Rodolfo Del Sole



MUGELLO

Andrea Marini

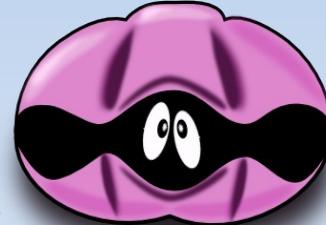


Conor Hogan

Yambo[©]

www.yambo-code.org

YAMBO is a multipurpose Ab-Initio Many-Body code for excited state calculations.



Daniele Varsano

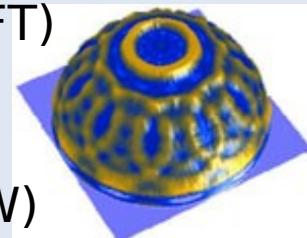


Myrta Grüning

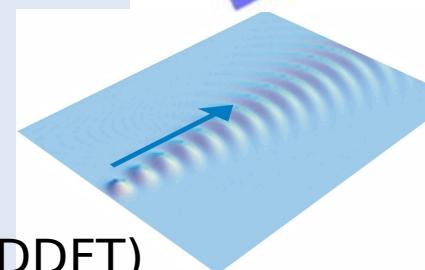
released under the **GPL** license on Sept 1st 2008



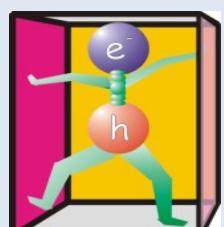
Particles(DFT)



Quasiparticles(GW)



Plasmons (TDDFT)



Excitons (Bethe-Salpeter equation)



Contents lists available at ScienceDirect

Computer Physics Communications

www.elsevier.com/locate/cpc

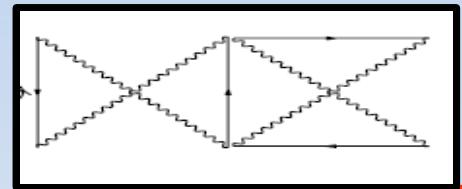


doi:10.1016/j.cpc.2009.02.003

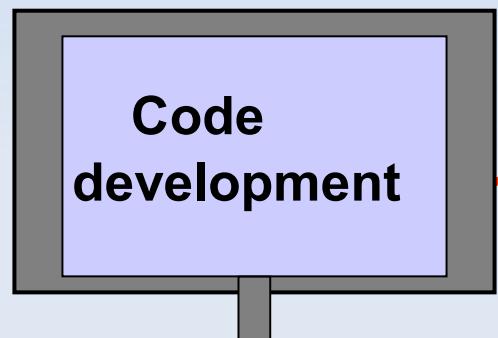
yambo: An *ab initio* tool for excited state calculations[☆]

Andrea Marini^{a,e,*}, Conor Hogan^{b,e}, Myrta Grüning^{c,e}, Daniele Varsano^{d,e}

Group activities

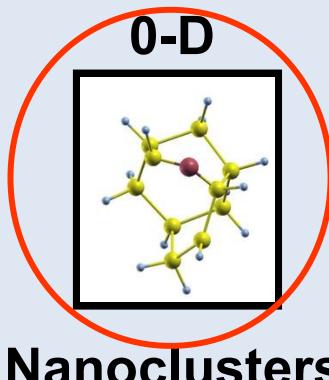


Theory

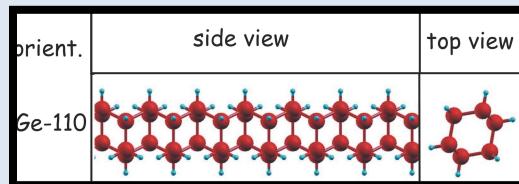


Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

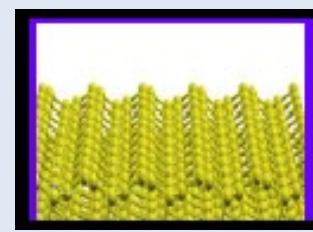
- Generality, transferability 0D-3D
- detailed physical informations



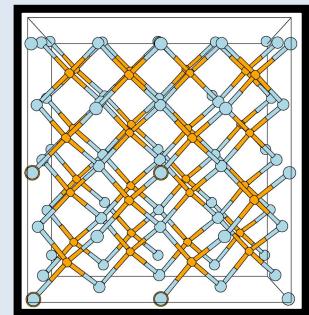
Nanoclusters



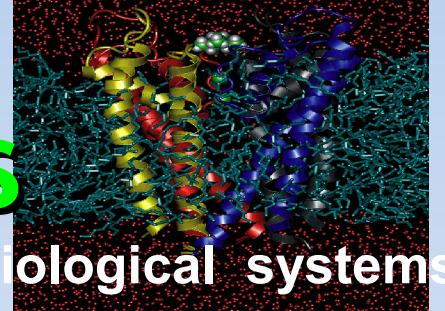
Nanowires



Surfaces



bulks

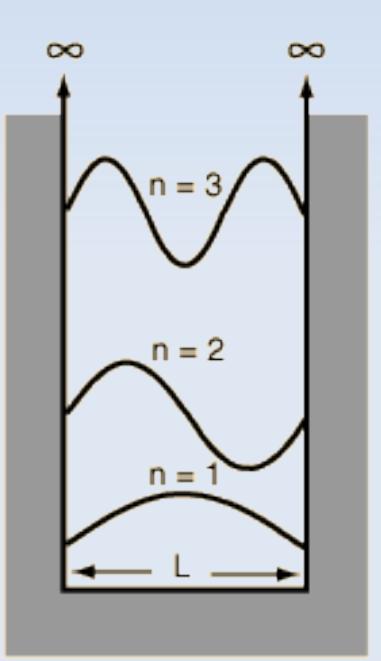


Biological systems

Olivia Pulci, Maurizia Palummo, Conor Hogan, Andrea Marini, Margherita Marsili,
Viviana Garbuio, Adriano Mosca Conte, Elena Cannuccia, Rodolfo Del Sole

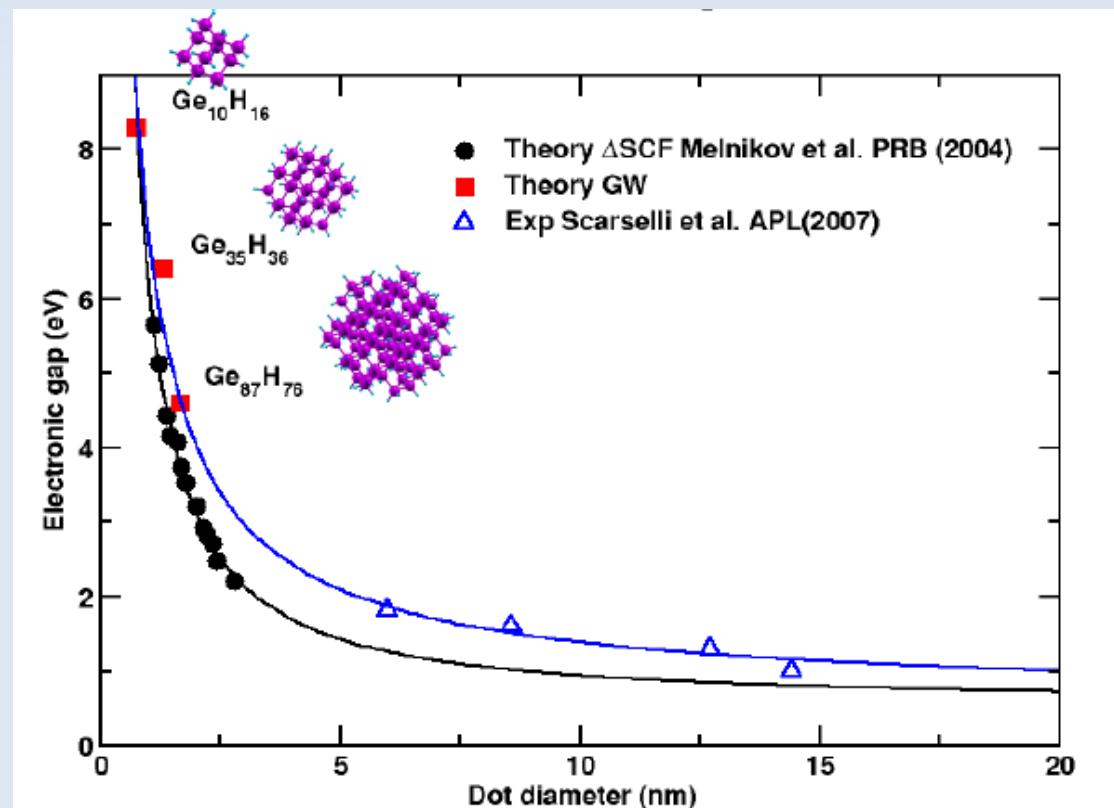
0D nanodots

nanoclusters: electronic gap vs. size



$$E_n = \frac{\pi^2 \hbar^2}{2m_e} \frac{n^2}{L^2}$$

Quantum confinement



M. Marsili, M. Palummo, O. Pulci

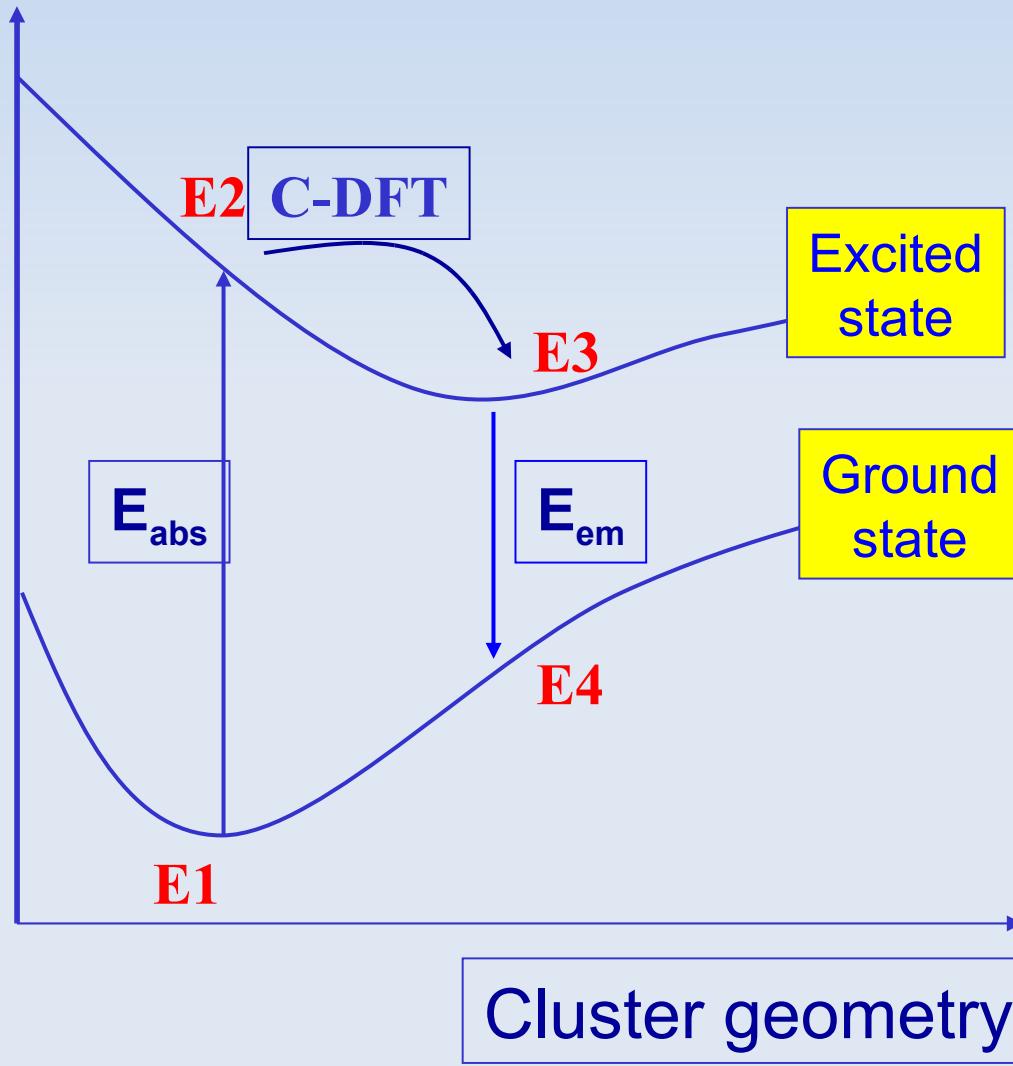
(c)

$$\begin{aligned} E_g(QD) &\approx E_{g0} + \frac{\pi^2 \hbar^2}{2m_{eh}R^2} \\ m_{eh} &= \frac{m_e m_h}{m_e + m_h} \end{aligned}$$

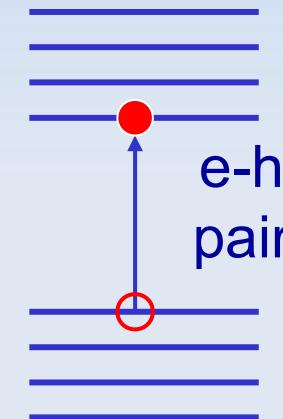
m_e = effective electron mass

m_h = effective hole mass

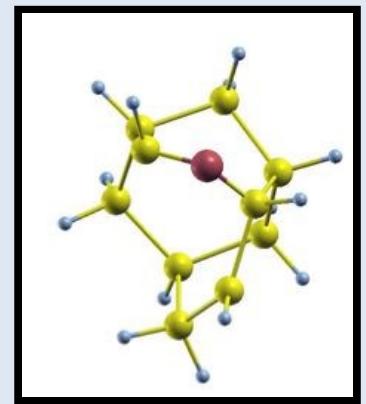
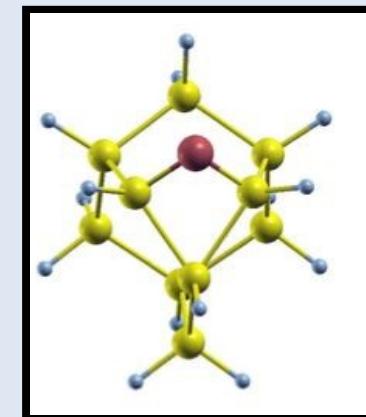
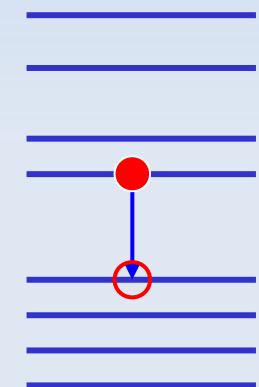
0D nanodots: absorption and emission



Absorption

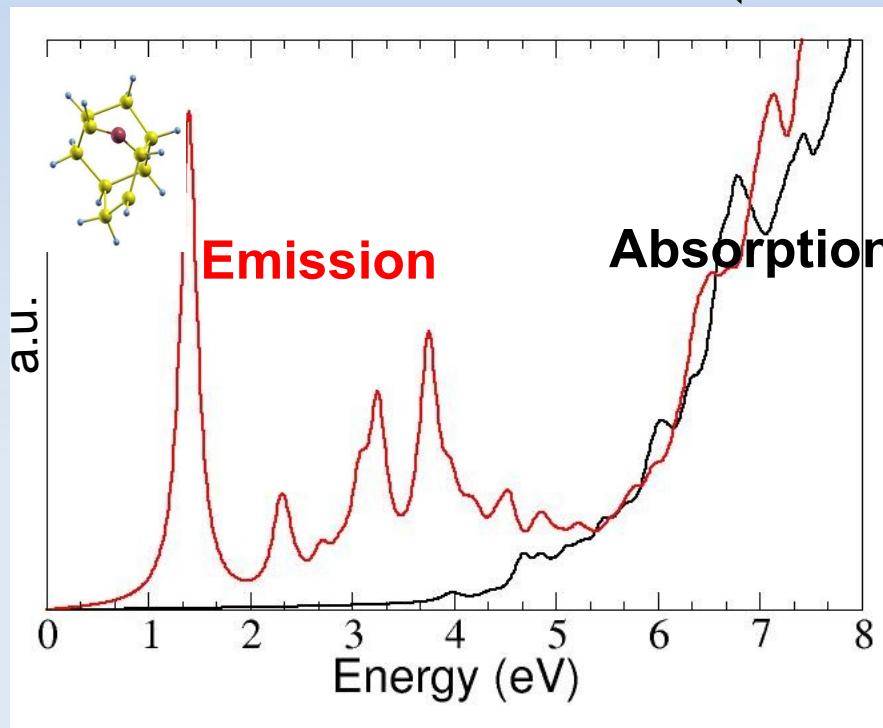


Emission



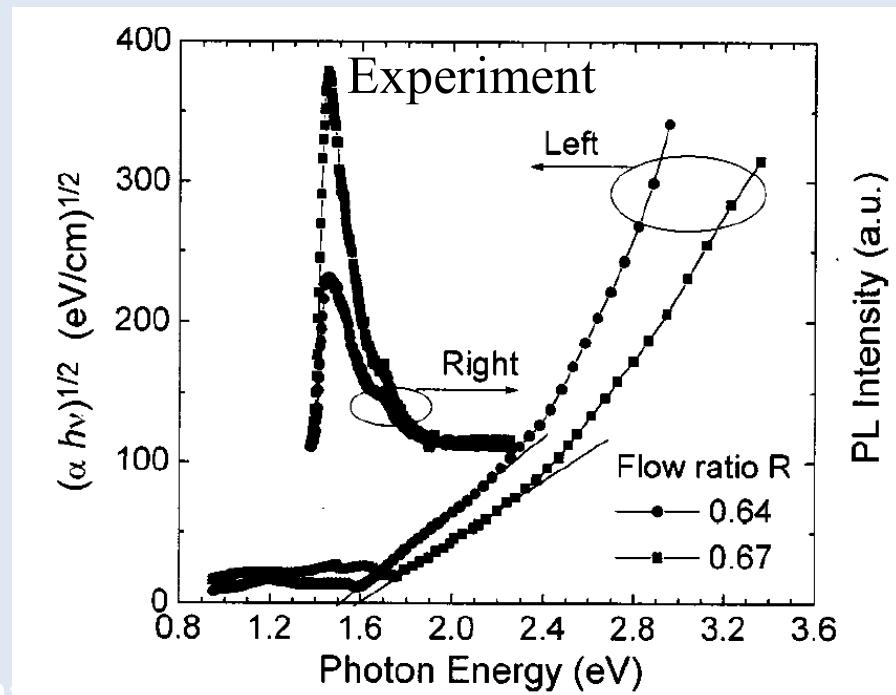
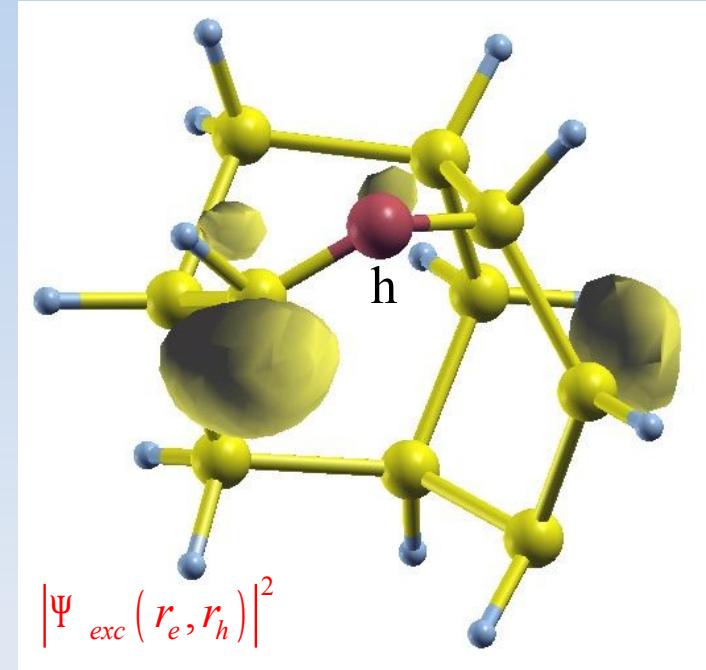
Structural minimization performed both in the ground state and in presence of the e-h pair

0D nanodots (O. Pulci)

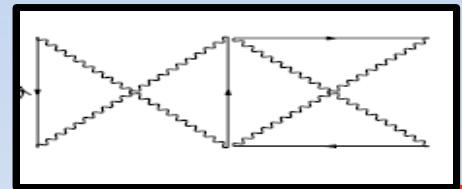


PRB 2007

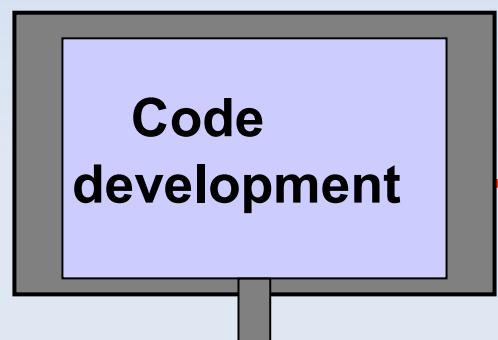
PL peak related to O bridge



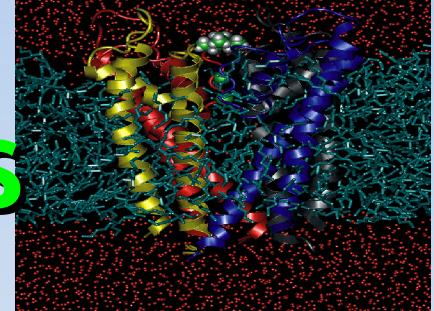
Group activities



Theory



Code development



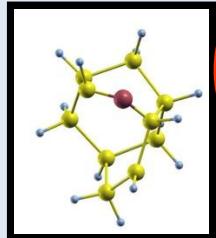
Biological systems

Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

- Generality, transferability 0D-3D
- detailed physical informations

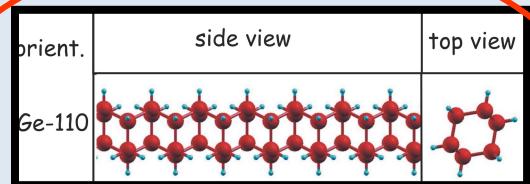


0-D



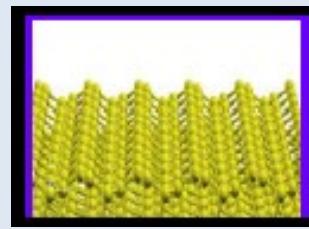
Nanoclusters

1-D



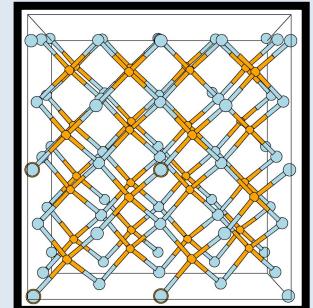
Nanowires

2-D



Surfaces

3-D

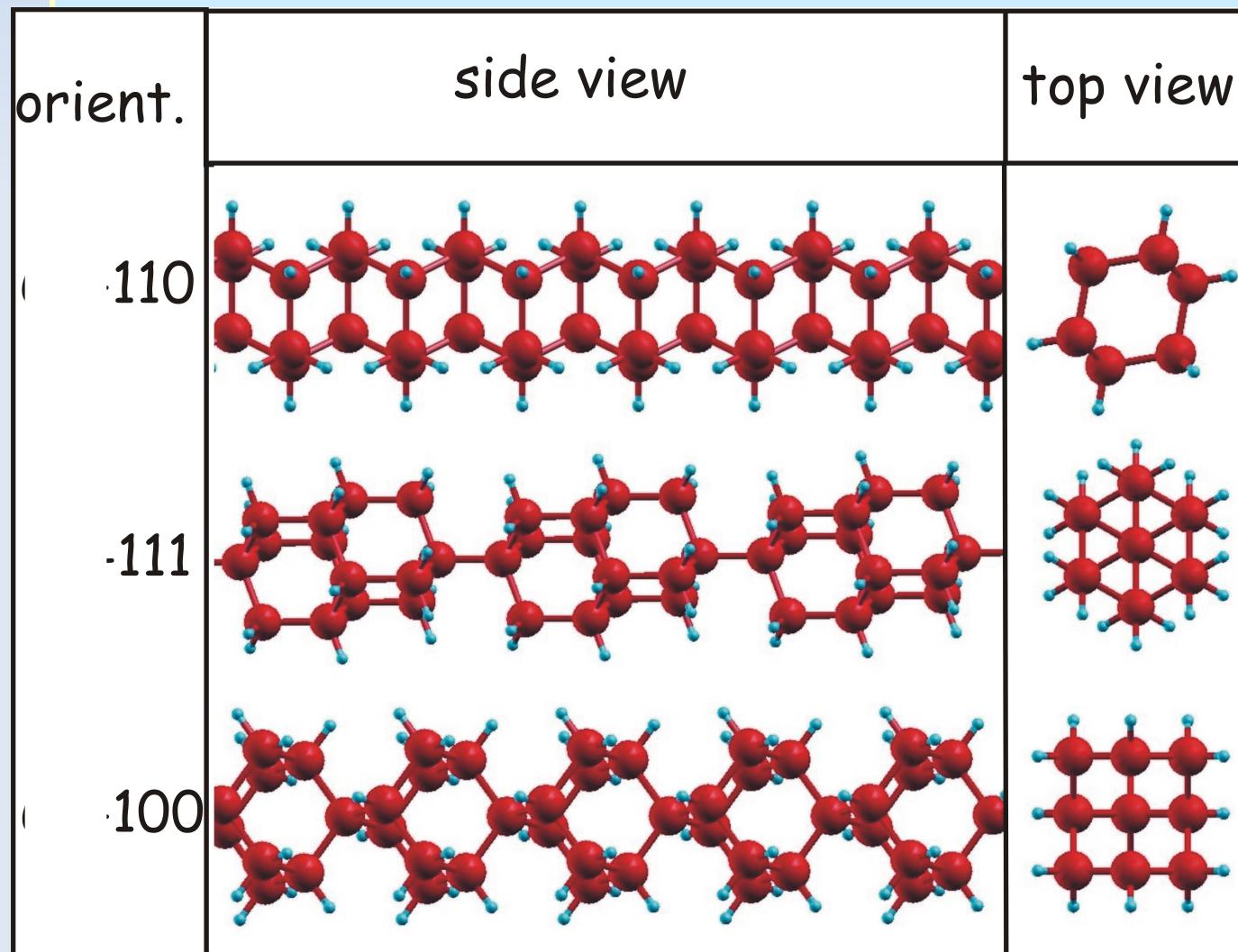


bulks

Olivia Pulci, Maurizia Palummo, Conor Hogan, Andrea Marini, Margherita Marsili,
Viviana Garbuio, Adriano Mosca Conte, Elena Cannuccia, Rodolfo Del Sole

1D nanowires (Palummo)

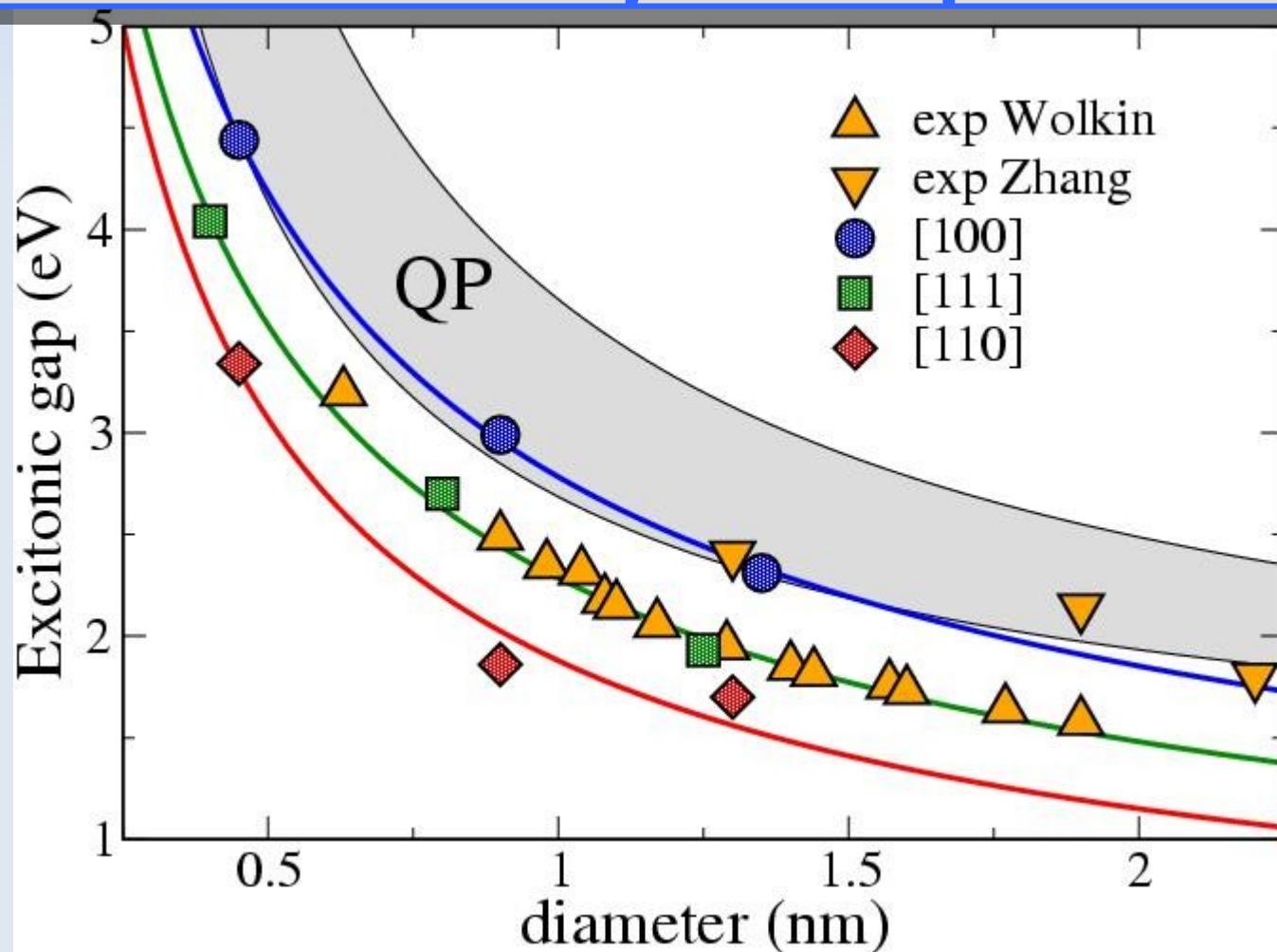
Si Wires' atomic structure



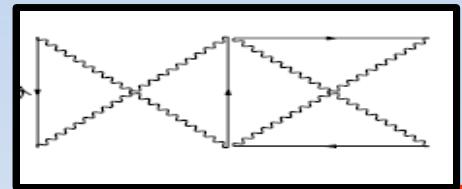
$d=4\text{\AA}$

1D nanowires

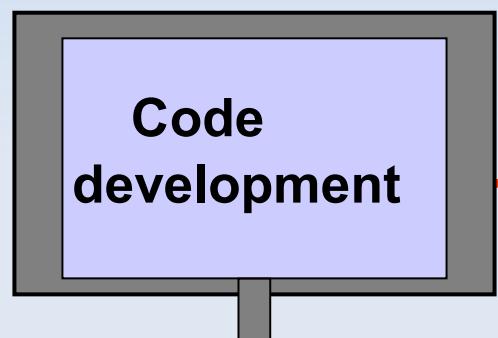
Si NWs Excitonic Gap: theory vs exp.



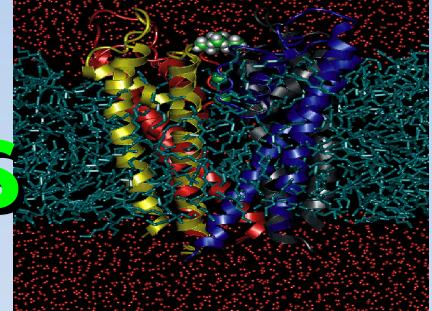
Group activities



Theory



Code development



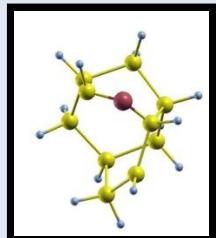
Biological systems

Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

- Generality, transferability 0D-3D
- detailed physical informations

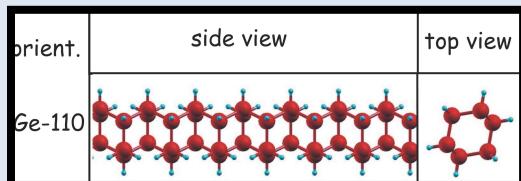


0-D



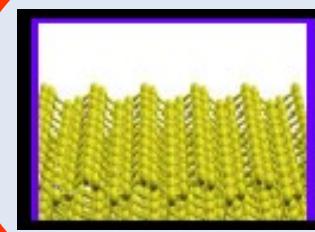
Nanoclusters

1-D



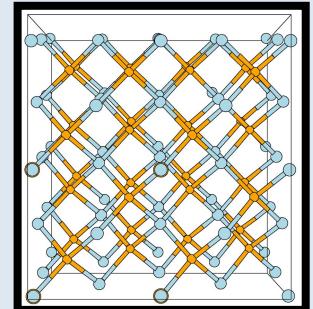
Nanowires

2-D



Surfaces

3-D

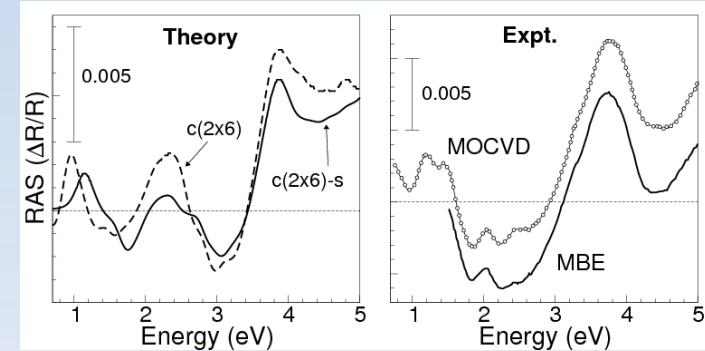
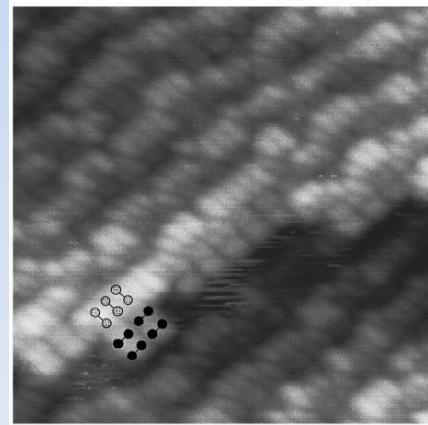


bulks

2D: surfaces (ongoing projects)

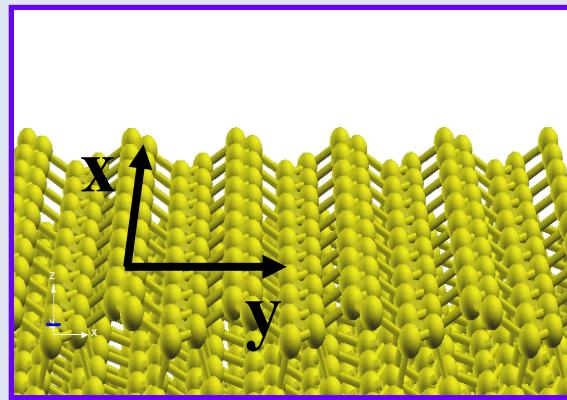
- GaSb(001) c2x6

Conor Hogan



- C(111)2x1

Margherita Marsili

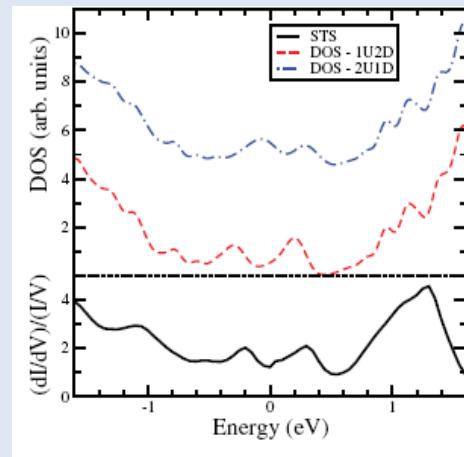
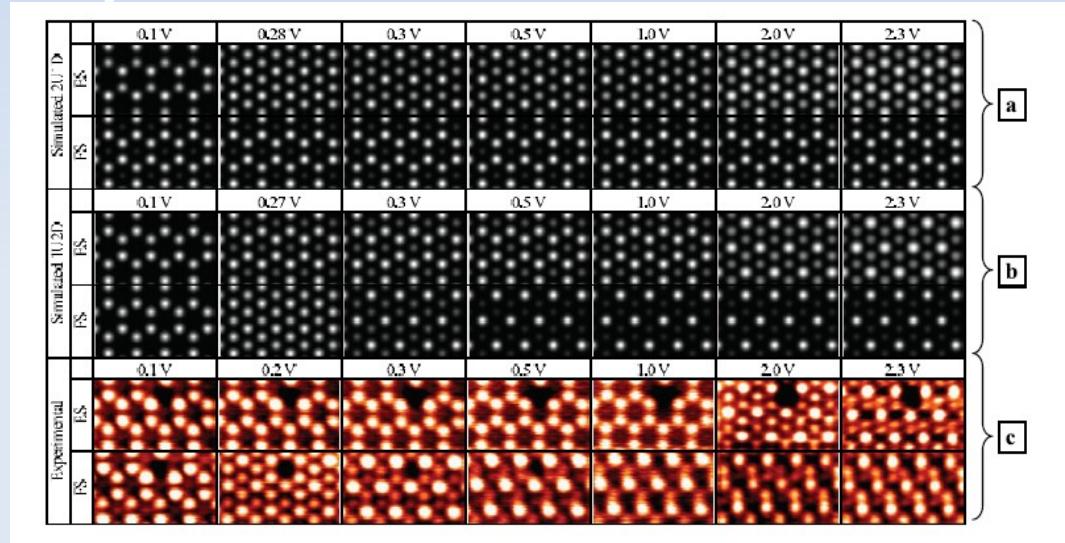
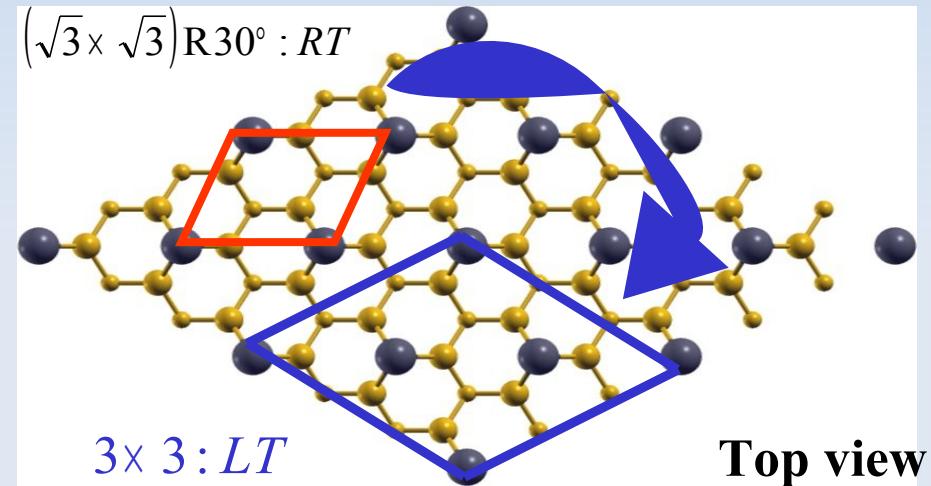


- Ice (0001)

Viviana Garbuio



ISM-NAST collaboration (1): Ge(111):Sn



Metal semiconductor interfaces: electronic properties at the GW level and comparison experimental/calculated STM images

Eur. Phys. Lett. 2009

With Paola Gori, Fabio Ronci, Stefano Colonna, and Antonio Cricenti (ISM)

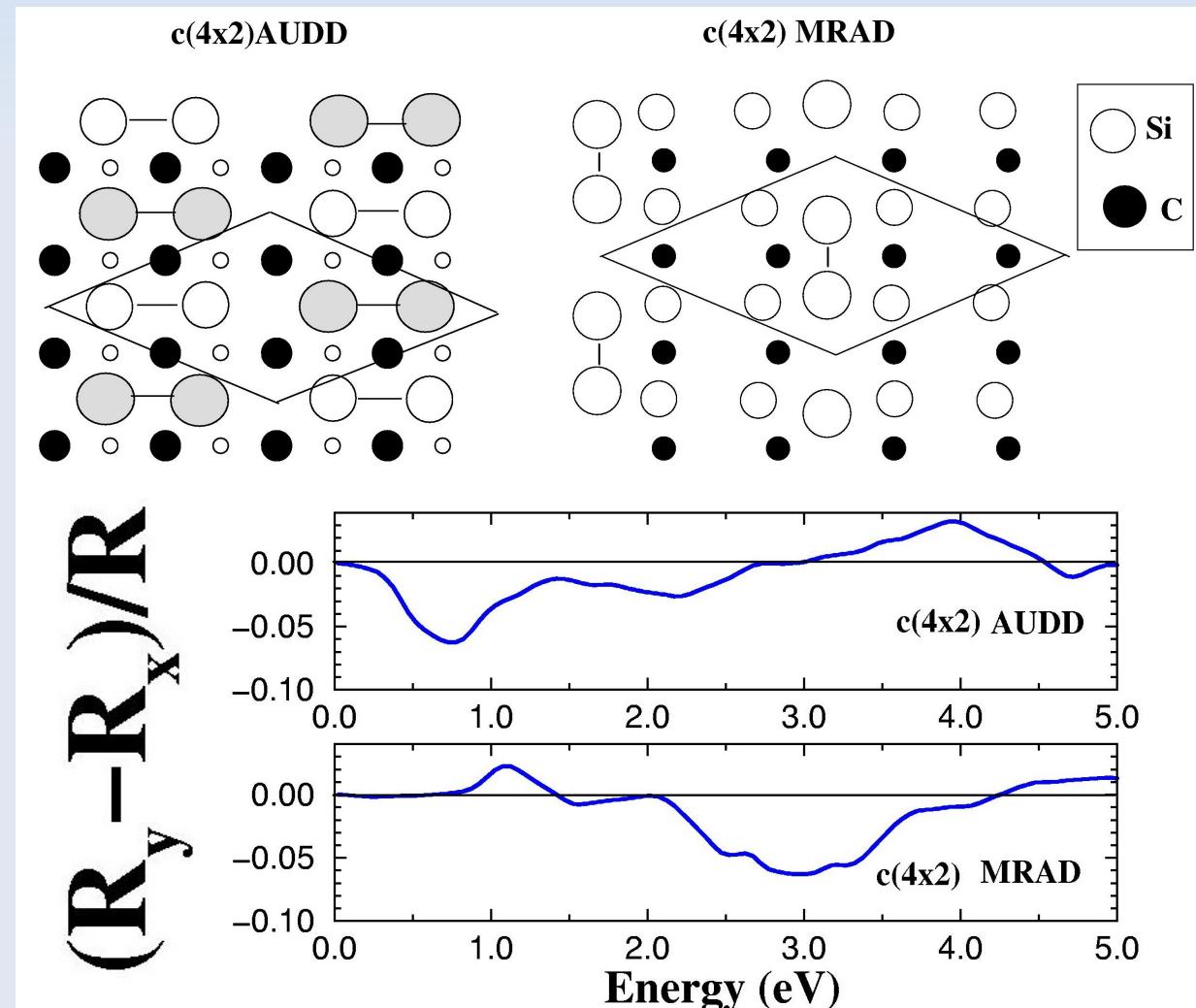
ISM-NAST collaboration (2):

3C-SiC(001) c(4x2)

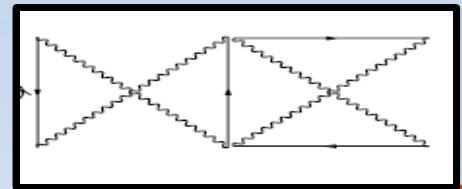
Group IV surfaces:

determination of actual
ground state via
comparison with RAS
results

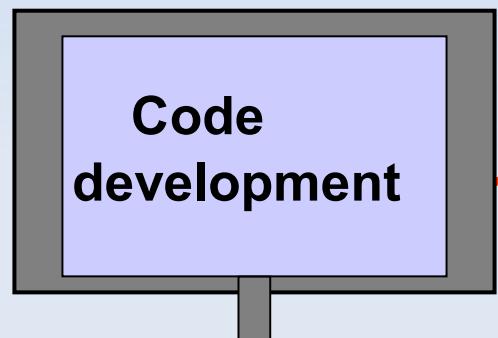
O. Pulci, Paola Gori
and Antonio Cricenti
(ISM)



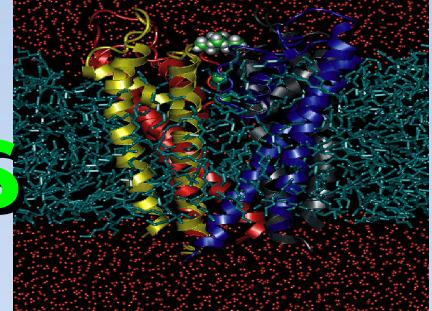
Group activities



Theory



Code development



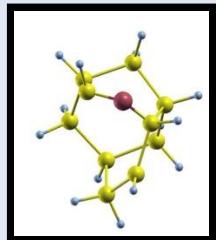
Biological systems

Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

- Generality, transferability 0D-3D
- detailed physical informations

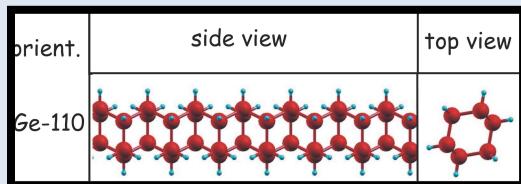


0-D



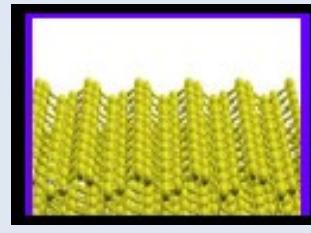
Nanoclusters

1-D



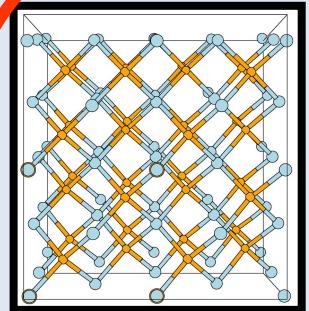
Nanowires

2-D



Surfaces

3-D

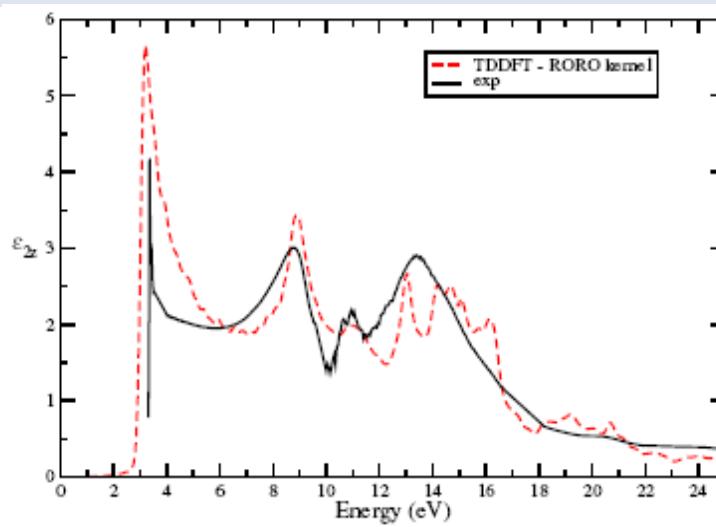
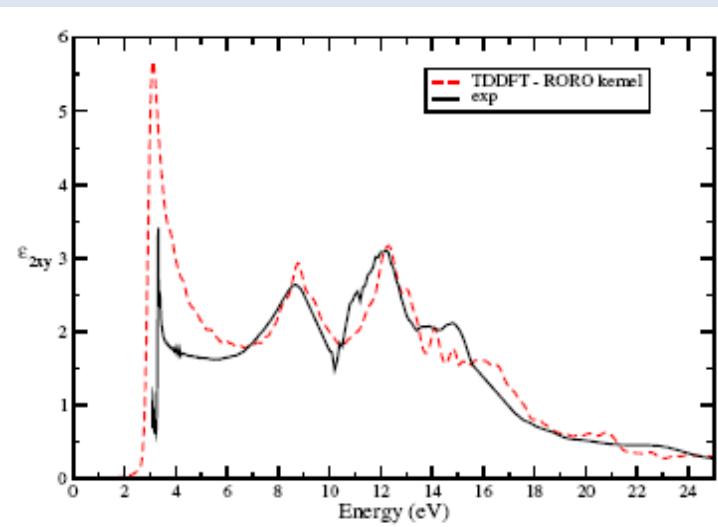


bulks

Olivia Pulci, Maurizia Palummo, Conor Hogan, Andrea Marini, Margherita Marsili,
Viviana Garbuio, Adriano Mosca Conte, Elena Cannuccia, Rodolfo Del Sole

ISM-NAST collaboration (3): oxides

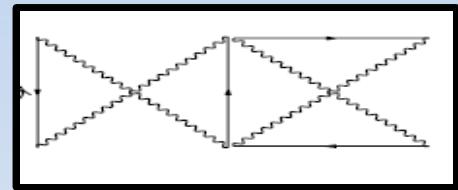
excitonic effects in ZnO



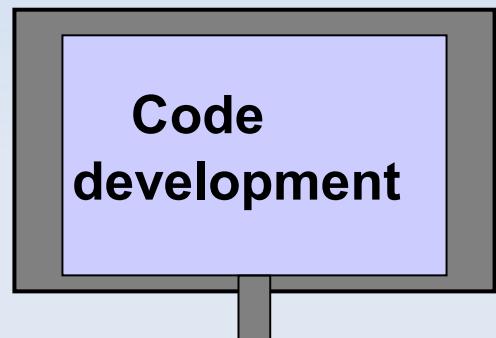
Optical properties of oxides: inclusion of excitonic effects at the BSE or TDDFT level

with Paola Gori and Antonio Cricenti (ISM)

Group activities



Theory



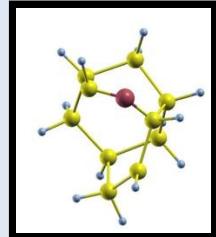
Code development

Ab-initio: (NOT “one puts nothing in,
one gets nothing out”!!)

- Generality, transferability 0D-3D
- detailed physical informations

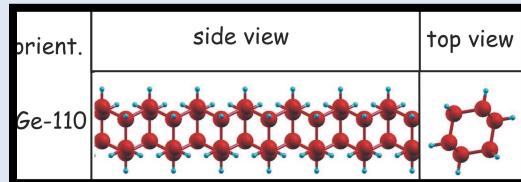


0-D



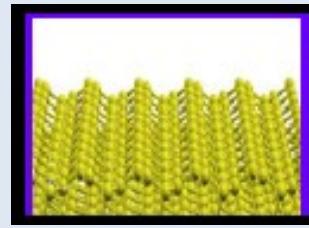
Nanoclusters

1-D



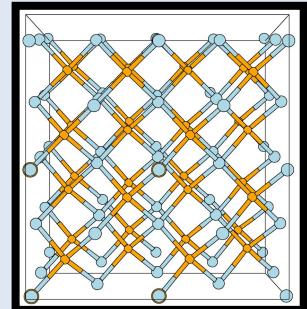
Nanowires

2-D

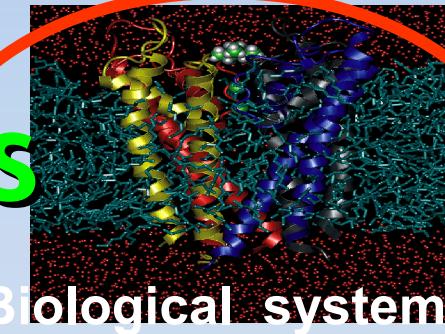


Surfaces

3-D



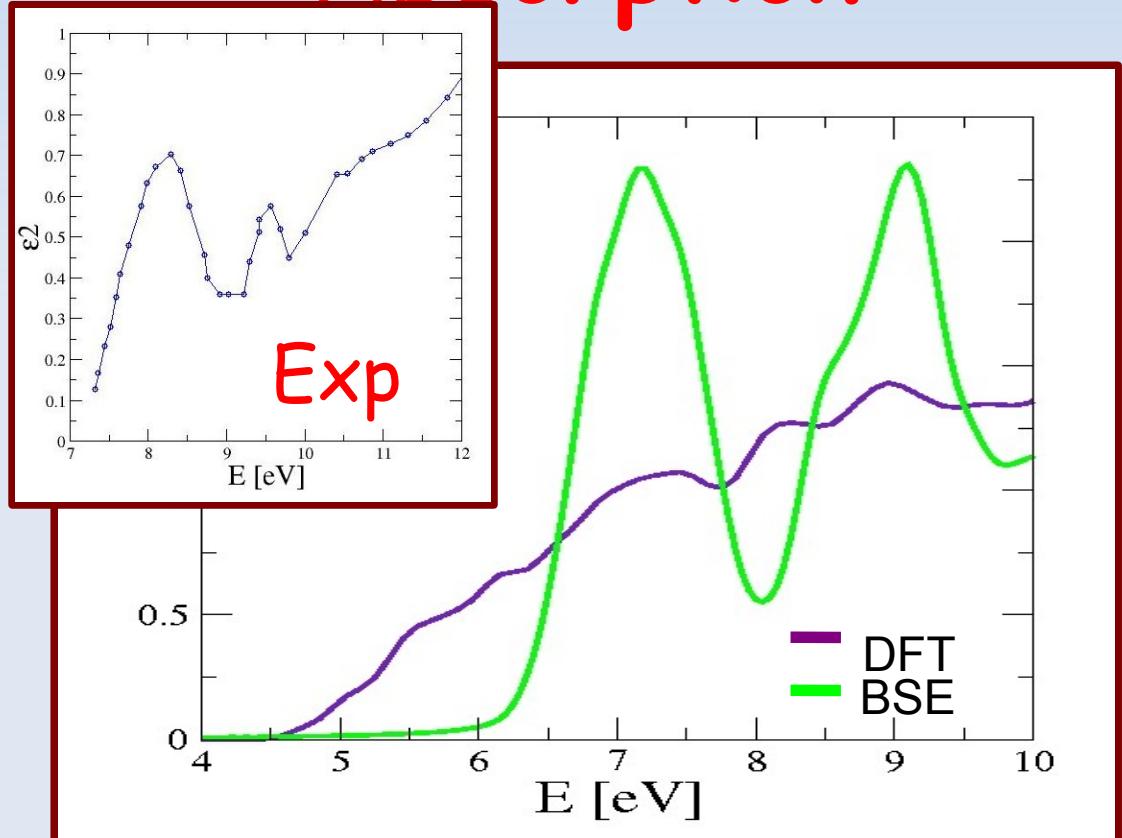
bulks



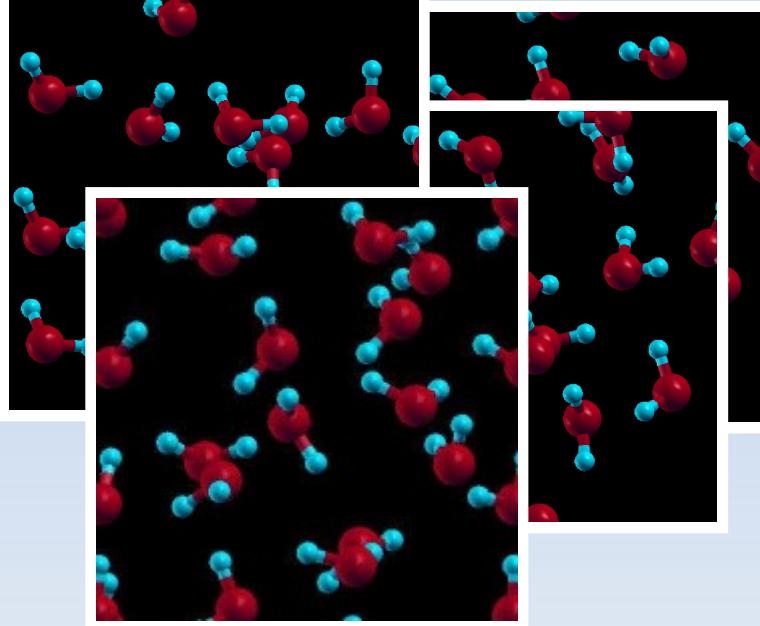
Biological systems

H_2O (Garbuio-Pulci)

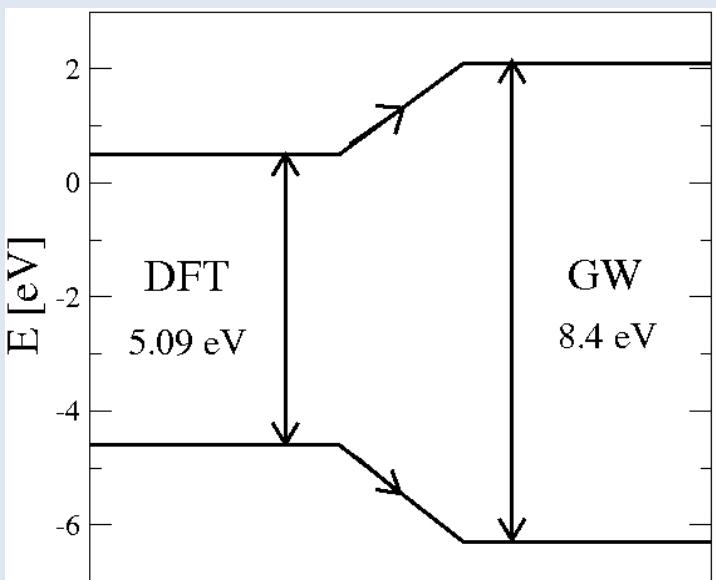
Absorption



Exciton binding
energy 2.4 eV

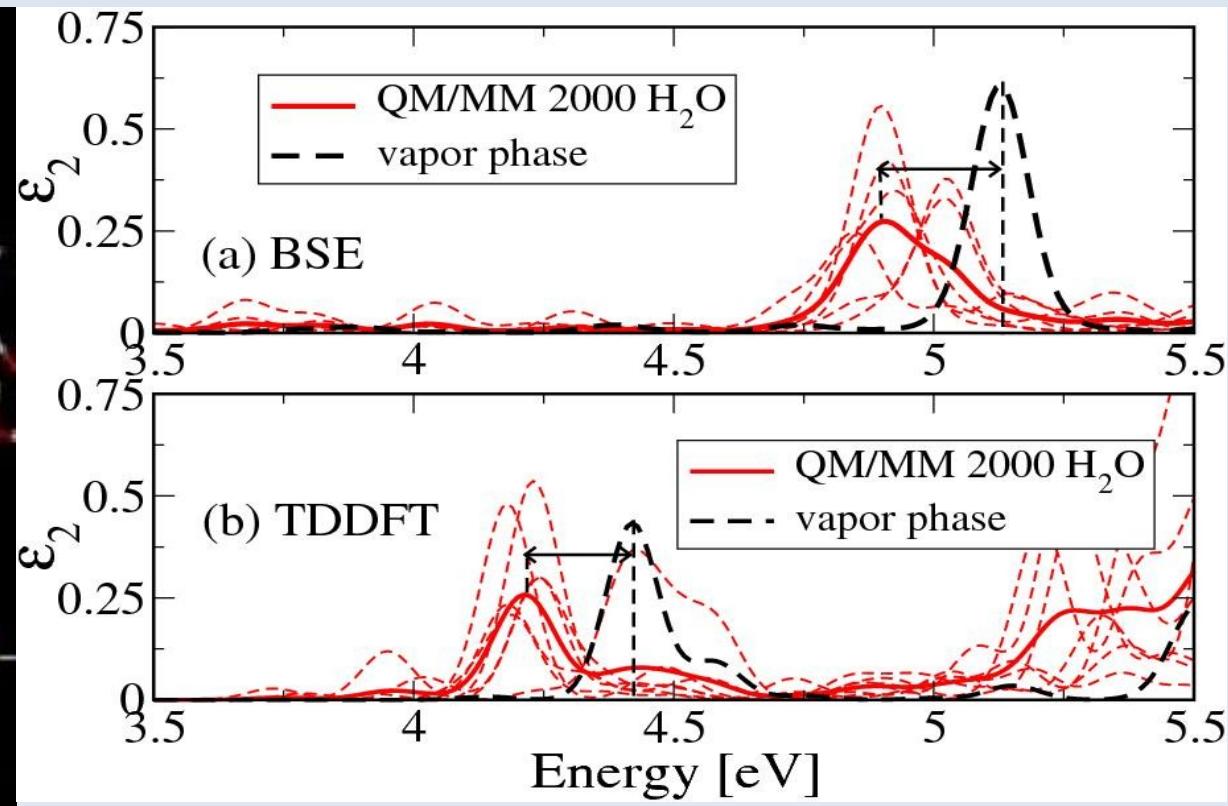
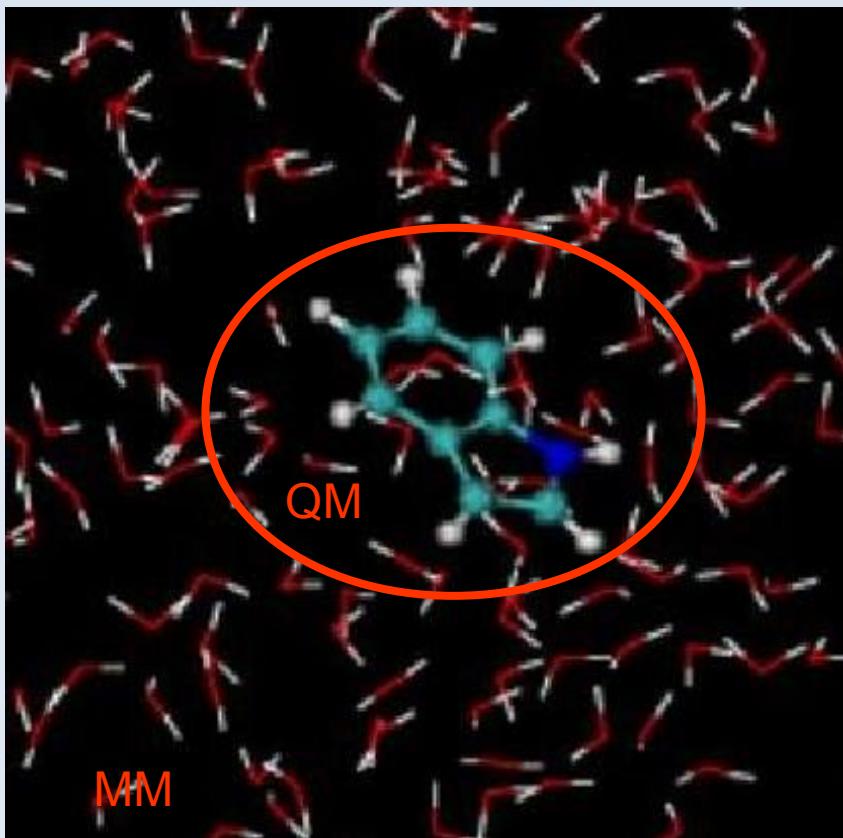


Electronic Gap



Bio: conquering the environment (Mosca OPTICAL PROPERTIES OF INDOLE IN WATER SOLUTION BY MBPT/MM Conte-Pulci)

A COMBINATION OF MANY BODY METHODS (GW+BSE) WITH QM/MM
TO STUDY BIOLOGICAL MOLECULES IN SOLUTION

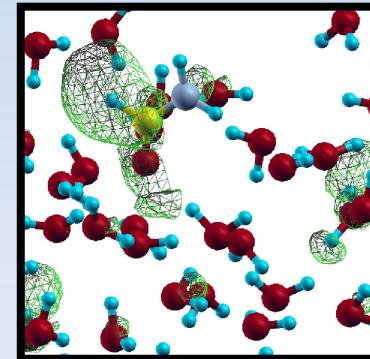


Towards Biological Systems

Ongoing projects:

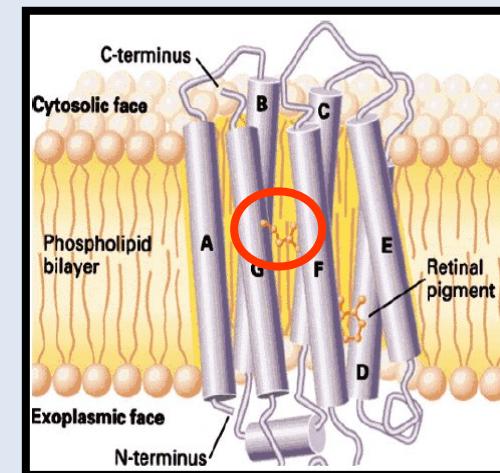
**Study of solutions:
formamide in water**

V. Garbuio

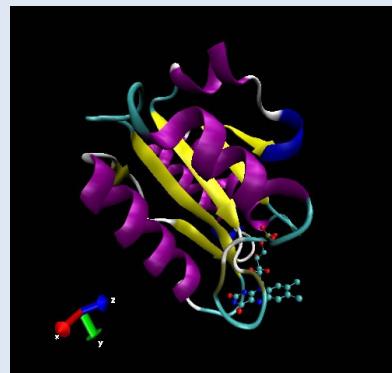


**Rhodopsin:
retinal photoisomerization**

osca Conte, NAST project with L. Guidoni



**Flavin
Cannuccia**



Conclusions and Perspectives

- **WHAT WE DO**

ab-initio electronic and optical properties of 0-3D systems

- **WHAT WE CAN DO WITH SOME EFFORT..**

200 atoms all *ab-initio* (hybrid methods needed)

- **WHAT (RIGHT NOW) WE CANNOT DO**

(but we are working on it)

excited state molecular dynamics



European
Theoretical
Spectroscopy
Facility
an initiative of the
Nanoquanta
Network of Excellence

Call for projects always opened

Thank you for your attention

<http://www.etsf.eu>



Theory (Marsili-Del Sole)

GW Γ approach
to band energies calculations

Theory (Sangalli)

Casida's approach to TDDFT

$$\chi(\omega) = \chi_{KS}(\omega) + \chi_{KS}(\omega) f_{Hxc}(\omega) \chi(\omega) \quad (1)$$

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X_I \\ Y_I \end{pmatrix} = \omega_I \begin{pmatrix} X_I \\ Y_I \end{pmatrix} \quad (2)$$

$$A_{ij\sigma,hk\tau} = \delta_{i,h}\delta_{j,k}\delta_{\sigma,\tau}(\epsilon_{i\sigma} - \epsilon_{j\sigma}) + f_{ij\sigma,hk\tau}^{Hxc}(\omega)$$

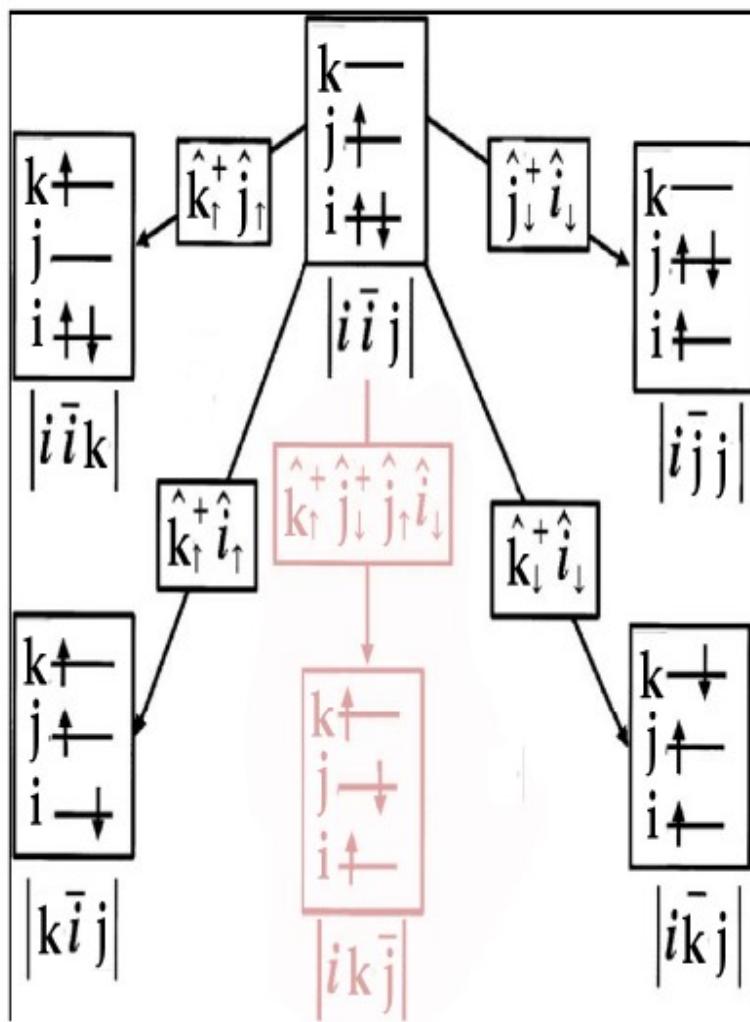
$$B_{ij\sigma,hk\tau} = f_{ij\sigma,hk\tau}^{Hxc}(\omega)$$

$$f_{ij\sigma,hk\tau}^{Hxc} = \int d^3\mathbf{r} d^3\mathbf{r}' \psi_{i\sigma}(\mathbf{r}) \psi_{j\sigma}^*(\mathbf{r}) \left[\frac{1}{|\mathbf{r} - \mathbf{r}'|} + f_{\sigma\tau}^{xc}(\mathbf{r}, \mathbf{r}'; \omega) \right] \psi_{h\tau}^*(\mathbf{r}') \psi_{k\tau}(\mathbf{r}') \quad (3)$$

- ▶ Matrix elements dimension = number of Kohn-Sham single excitations (N)
- ▶ If $f_{xc}(\omega) \simeq f_{xc}(0) \rightarrow N$ solutions!
- ▶ $\Psi \simeq \sum_{ij\sigma} X_{ij\sigma} \hat{a}_{j\sigma}^\dagger \hat{a}_{i\sigma} \Psi_0$

Theory (Sangalli)

Why double excitations are important: spin symmetry
An open shell system



Complete basis

$$\Phi_{1/2}^2 = |i\bar{i}k\rangle$$

$$\Phi_{1/2}^2 = |i\bar{i}j\rangle$$

$$\Phi_{1/2}^2 = \frac{1}{\sqrt{2}}(|\bar{i}jk\rangle - |ijk\rangle)$$

$$\Phi_{1/2}^2 = \frac{1}{\sqrt{6}}(|\bar{i}jk\rangle + |ij\bar{k}\rangle - 2|\bar{i}\bar{j}k\rangle)$$

$$\Phi_{1/2}^4 = \frac{1}{\sqrt{3}}(|\bar{i}jk\rangle + |ij\bar{k}\rangle + |\bar{i}\bar{j}k\rangle)$$

TDDFT (ALDA!)

$$\Phi_{1/2}^2 = |i\bar{i}k\rangle$$

$$\Phi_{1/2}^2 = |i\bar{i}j\rangle$$

$$\Phi_{1/2}^2 = \frac{1}{\sqrt{2}}(|\bar{i}jk\rangle - |ijk\rangle)$$

"Triplet":

$$\Phi_{1/2}^3 = \frac{1}{\sqrt{2}}(|\bar{i}jk\rangle + |ij\bar{k}\rangle)$$

Theory (Sangalli)

Mathematical point of view

$$\begin{pmatrix} S & C \\ C^\dagger & D \end{pmatrix} \begin{pmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{pmatrix} = \omega_I \begin{pmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{pmatrix} \quad (4)$$

$$(S + C(\omega_I - D)^{-1}C^\dagger) \mathbf{e}_1 = \omega_I \mathbf{e}_1 . \quad (5)$$

Contraction from n -dimensional space to m -dimensional subspace

Structure of the matrix

- If $n = 3$ and $m = 2$:

$$C(\omega_I - D)^{-1}C^\dagger = \frac{1}{\omega - d} \begin{pmatrix} a^2 & ab \\ ba & b^2 \end{pmatrix}$$

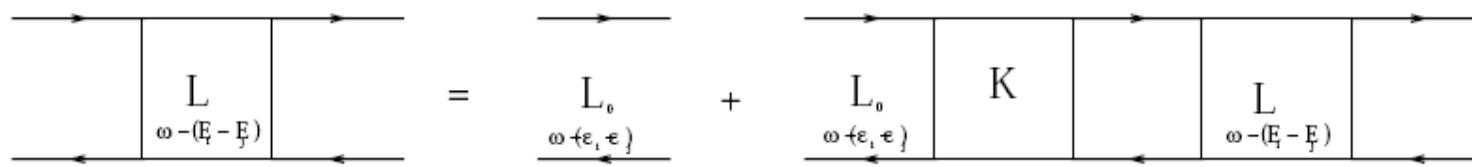
This comes from the product

$$C C^\dagger = \begin{pmatrix} a \\ b \end{pmatrix} (a \ b)$$

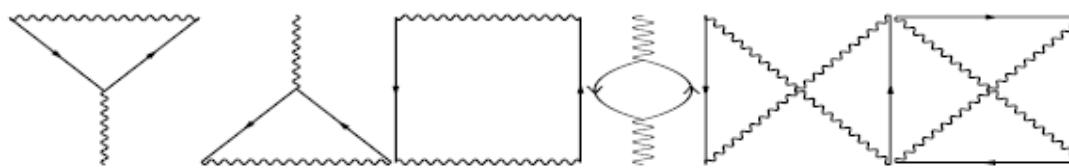
Theory (Sangalli)

The physics behind the kernel

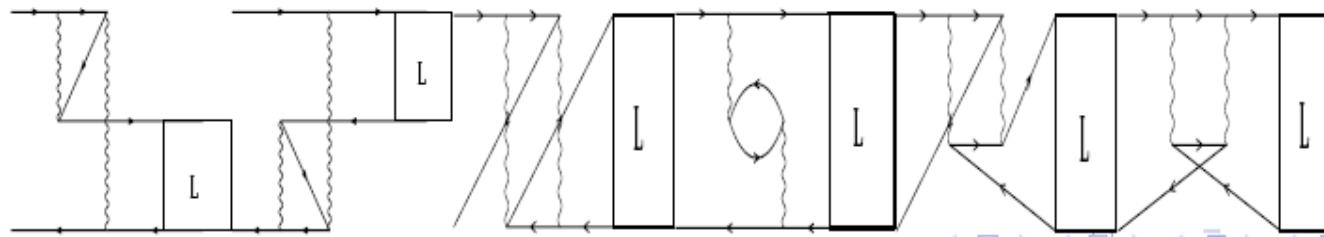
- The dynamic kernel moves the poles from L_0 to L



- Second order kernel respect coulom potential U_0 .



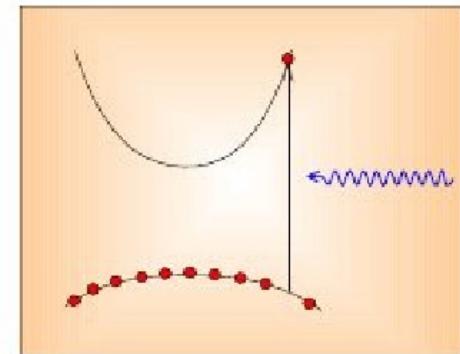
- Diagrams (deformed)...we see double excitations.



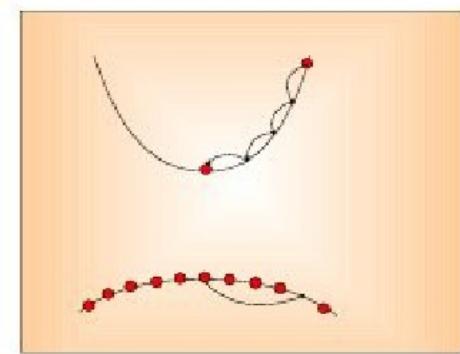
Theory (Attacalite-Marini) Luminescence by using non-equilibrium Green Function



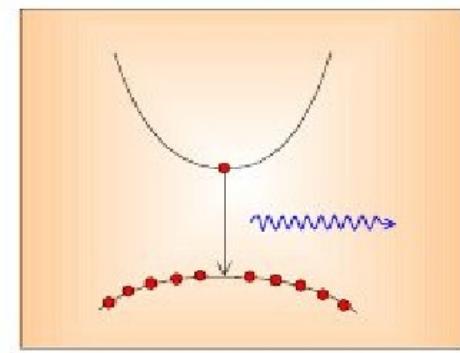
**(1) Excitation with
laser pulse
(25 fs ... 400 fs)**



**(2) Relaxation via
phonons
(100 fs ... 3 ps)**



**(3) Recombination/
Photoluminescence (PL)
(> 100 ps)**

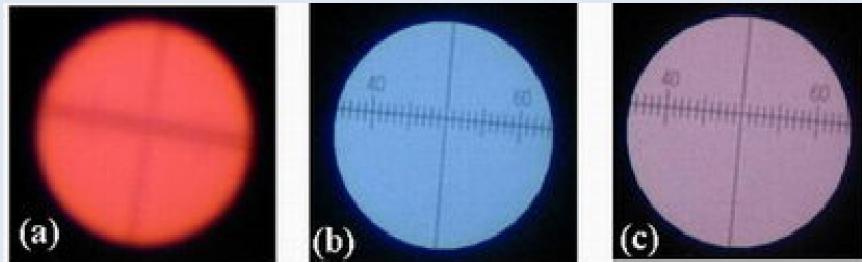


Theory (Attacalite-Marini) Prospective:

Create a theoretical and computational tool to study and predict light emission

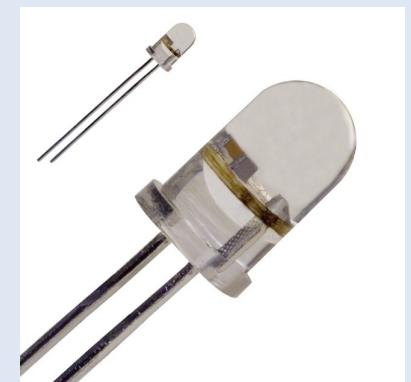
1) Luminescence:

Catho and photo luminescence



2) Role of temperature, defects and confinement

3) Non-linear Optics, optical gain, Optical Switching



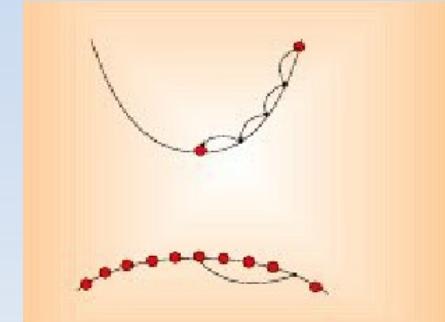
Theory (Attacalite-Marini) Working Plan

I. Real-time dynamics of electrons

by using Non-Equilibrium Green Functions.

Luminescence of bulk materials

$$(i \frac{\partial}{\partial t_1} - H_0) G^<(t_1, t_2) = [U(t_1) + \Sigma^{HF}(t_1)] G^<(t_1, t_2) + I^<(t_1, t_2)$$

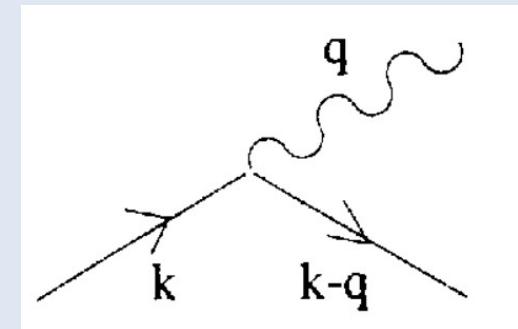


II. Electron-phonon coupling.

Relaxation through emission of phonons.

Luminescence from indirect-gap systems

$$\Sigma_k^<(t_1, t_2) = i \sum_q g_q^2 D_q^<(t_1, t_2) G_{k-q}^<(t_1, t_2)$$



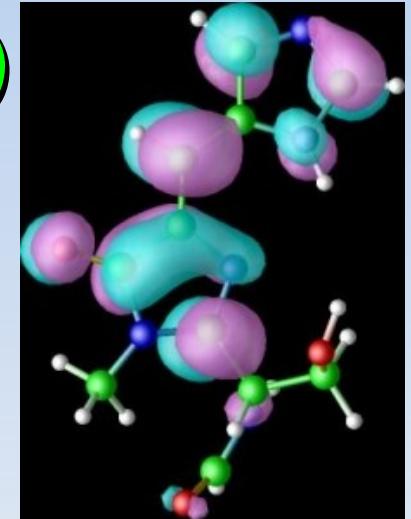
III. Forces in the excited state.

Luminescence from cluster, wires,
polymers and defects (exciton trapping)



Theory (Attacalite-Marini) *Ab-initio* Approach

The single particle electronic states are first evaluated using **density functional theory** (DFT)



Why Non-equilibrium Green Functions?

$$G^<(t_1, t_2) = i \langle a^+(t_2) a(t_1) \rangle$$
$$G^>(t_1, t_2) = -i \langle a(t_1) a^+(t_2) \rangle$$

Systematic approach to progressively include electronic correlation effects and electron-phonon interaction

Can be used as **starting point to derive approximate theory**
(Kinetic equations, Bloch equations, Boltzmann equations)

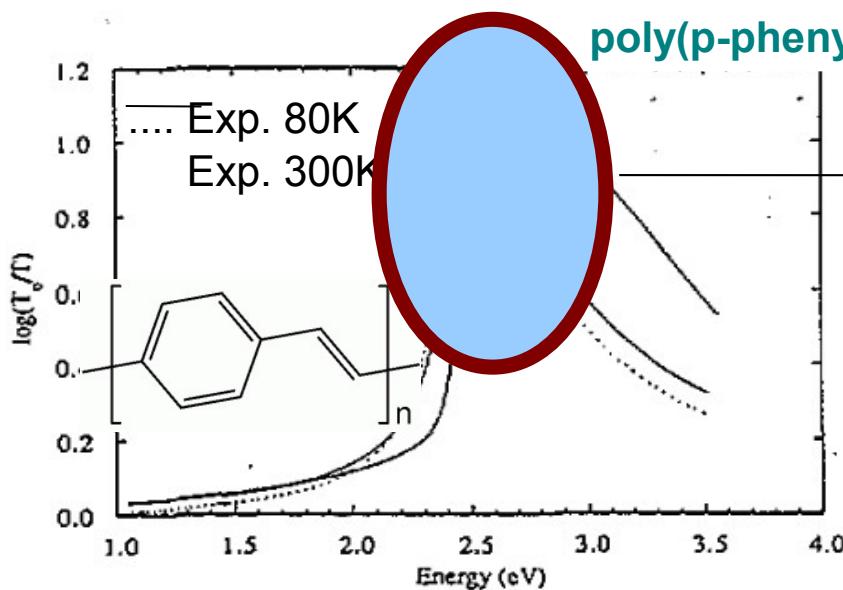
All this theory will be implemented in ->

Yambo[©]

1D CONJUGATED POLYMERS (Cannuccia-Marini)

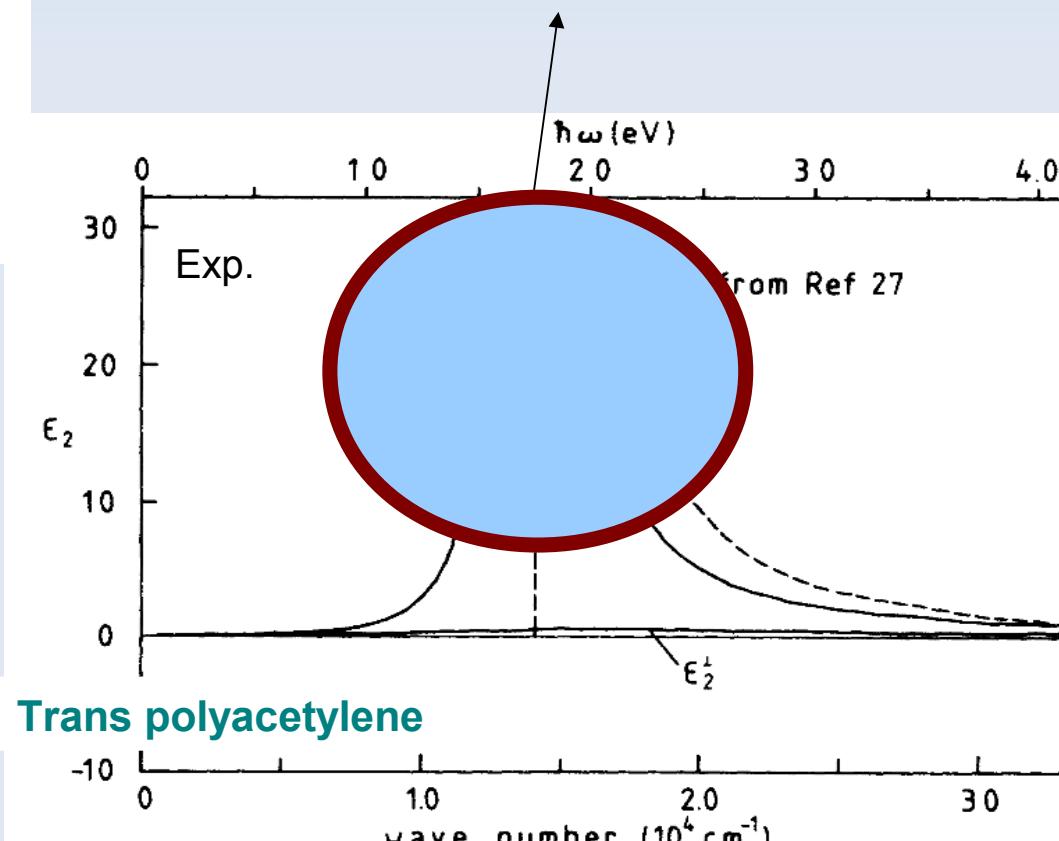
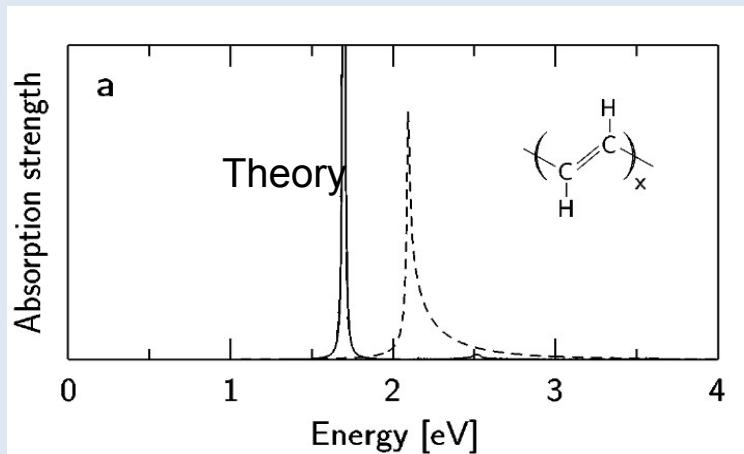
EXCITON-VIBRON INTERACTION

IN ONE DIMENSIONAL CONJUGATED POLYMERS



Bound exciton characterized by an **asymmetric line-shape**, together with strong **vibronic-induced side bands**

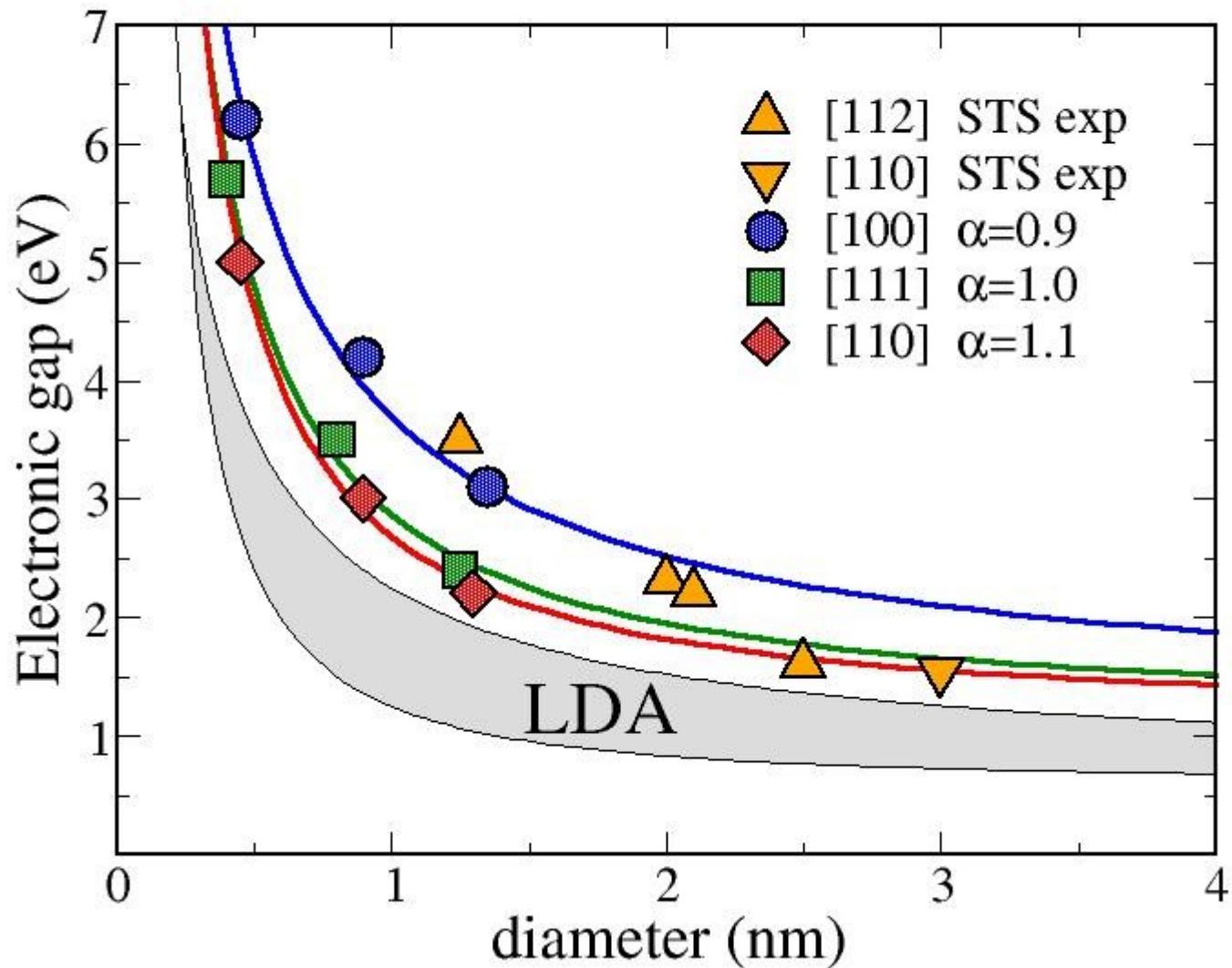
K Pichler et al 1993 J. Phys.: Condens. Matter 5 7155



Phys. Rev. Lett. 82, 1959 - 1962 (1999)

Phys. Rev. B 38, 10313 - 10322 (1988)

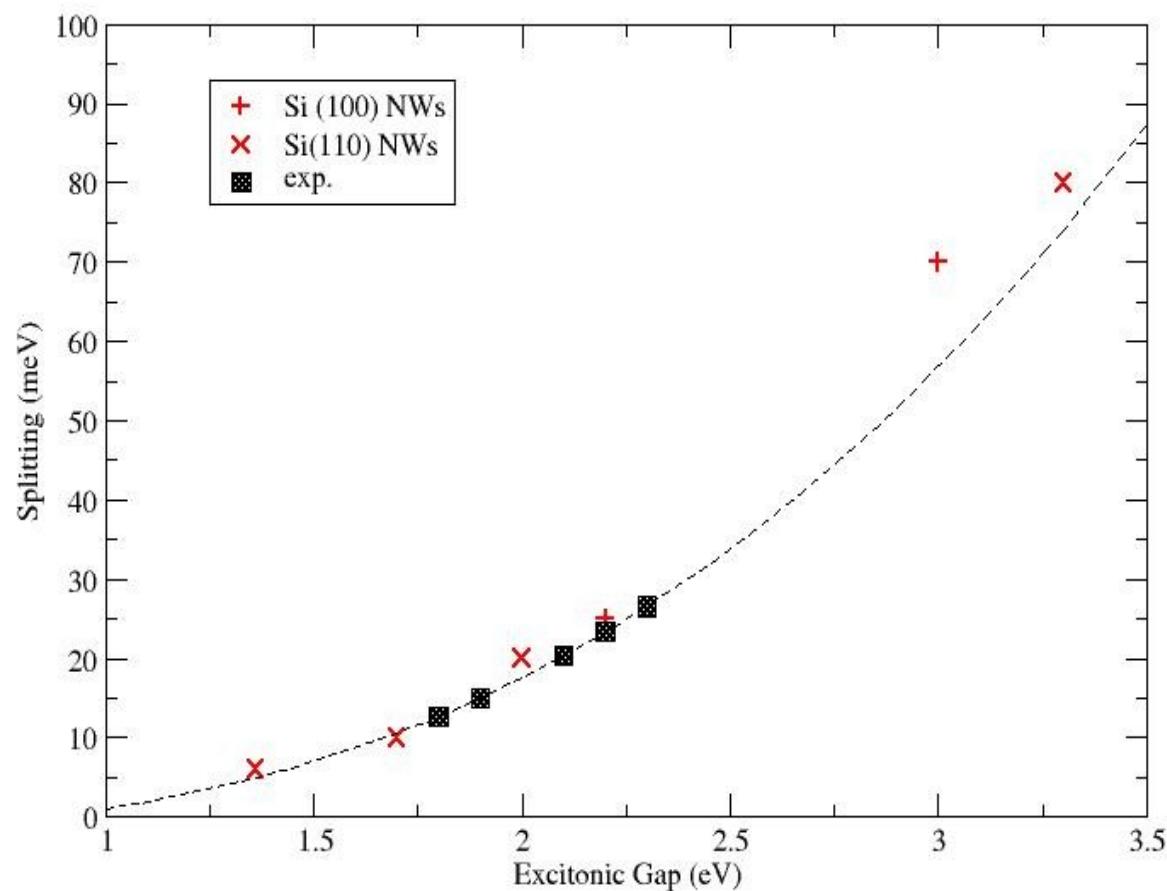
1D nanowires (Palummo)



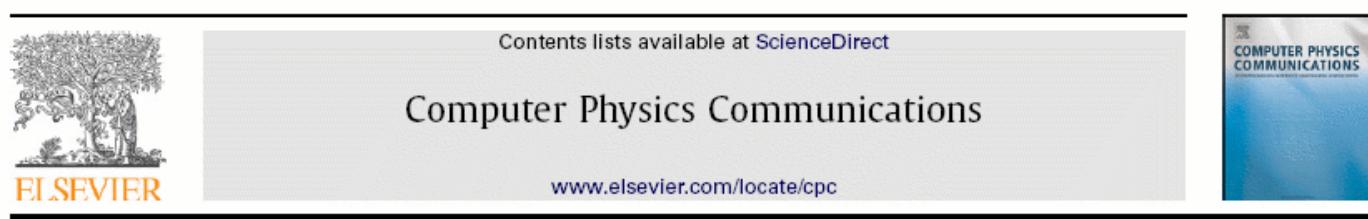
Experiment: Ma et al., Science 2003

1D nanowires (Palummo)

Si NWs Exchange splitting :
BSE theory vs Exp.



YAMBO (Hogan-Marini)



doi:10.1016/j.cpc.2009.02.003

yambo: An *ab initio* tool for excited state calculations [☆]

Andrea Marini ^{a,e,*}, Conor Hogan ^{b,e}, Myrta Grüning ^{c,e}, Daniele Varsano ^{d,e}

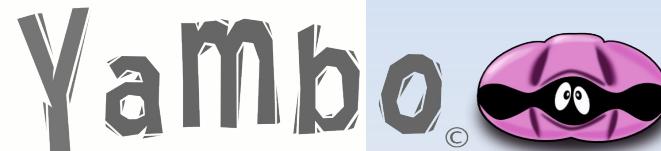
^a Dipartimento di Fisica, CNISM, and SMC Institute for Statistical Mechanics and Complexity, Università di Roma "Tor Vergata", Via della Ricerca Scientifica 1, I-00133 Roma, Italy

^b Dipartimento di Fisica and INFM-CNR, Università di Roma "Tor Vergata", Via della Ricerca Scientifica 1, I-00133 Roma, Italy

^c Unité PCPM, Université Catholique de Louvain, 1348 Louvain-la-Neuve, Belgium

^d National Center on nanoStructures and Biosystems at Surfaces (S3) of INFM-CNR, I-41100 Modena, Italy

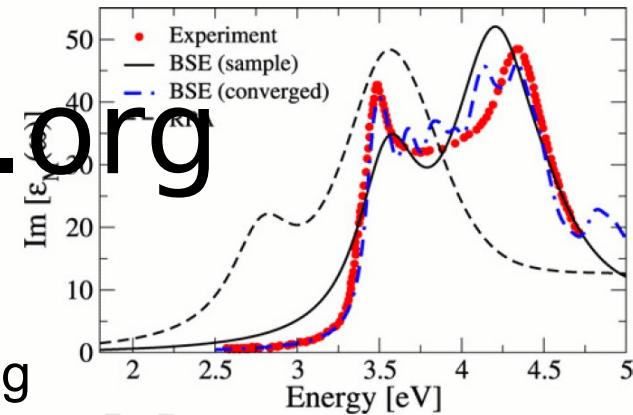
^e European Theoretical Spectroscopy Facility (ETSF)



www.yambo-code.org

Many-body perturbation theory:

- Quasiparticle corrections (GW)
- Optical and energy loss spectra including excitons and local field effects
-and much more!



Finite temperature. Electron-phonon and polarons

Real-axis GW

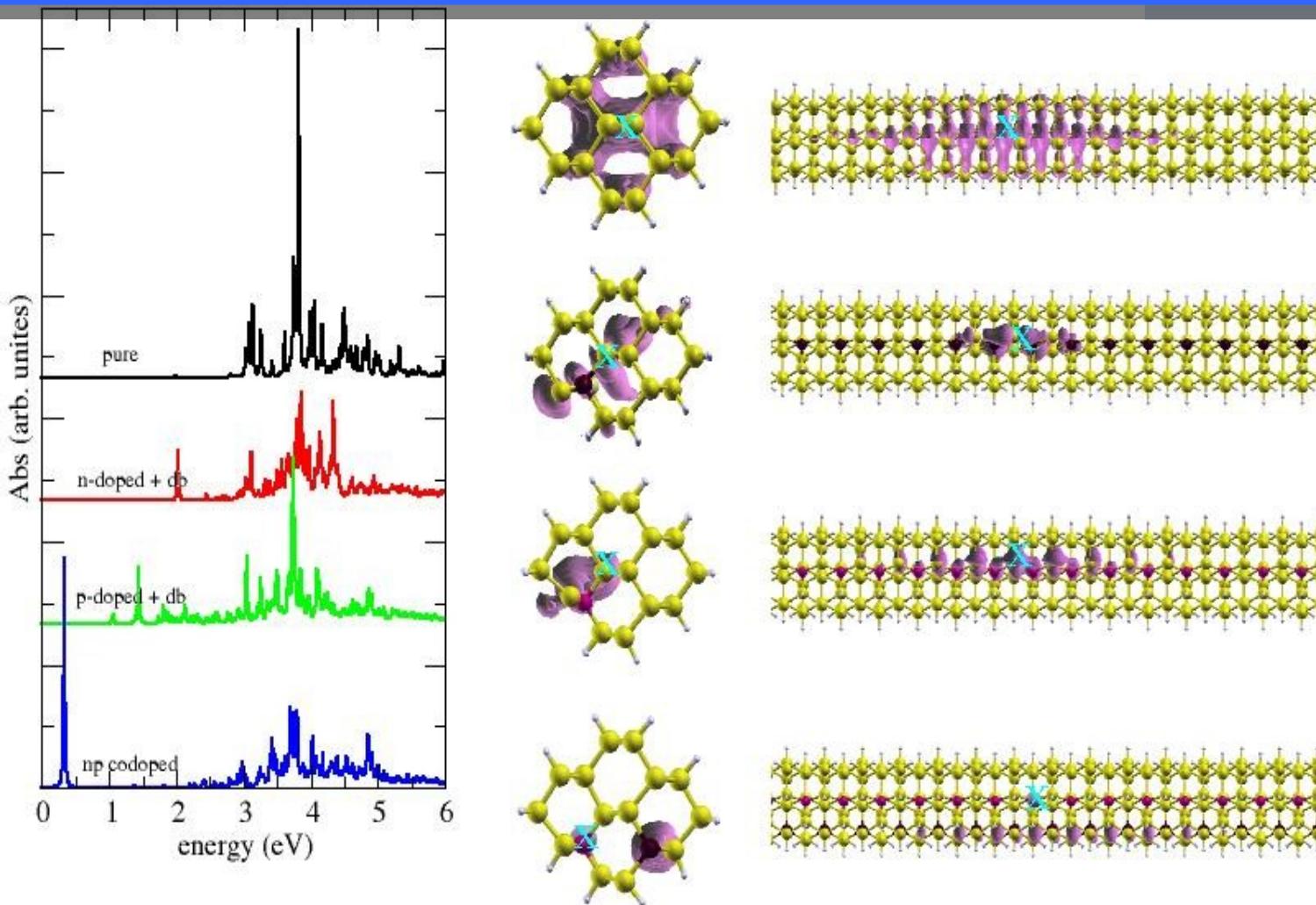
Self-consistent COHSEX, HF, EXX ... (SC)

Surface Spectroscopy
Collinear and non-collinear spin

Many-Body TDDFT kernel
Total Energy (ACFDT)

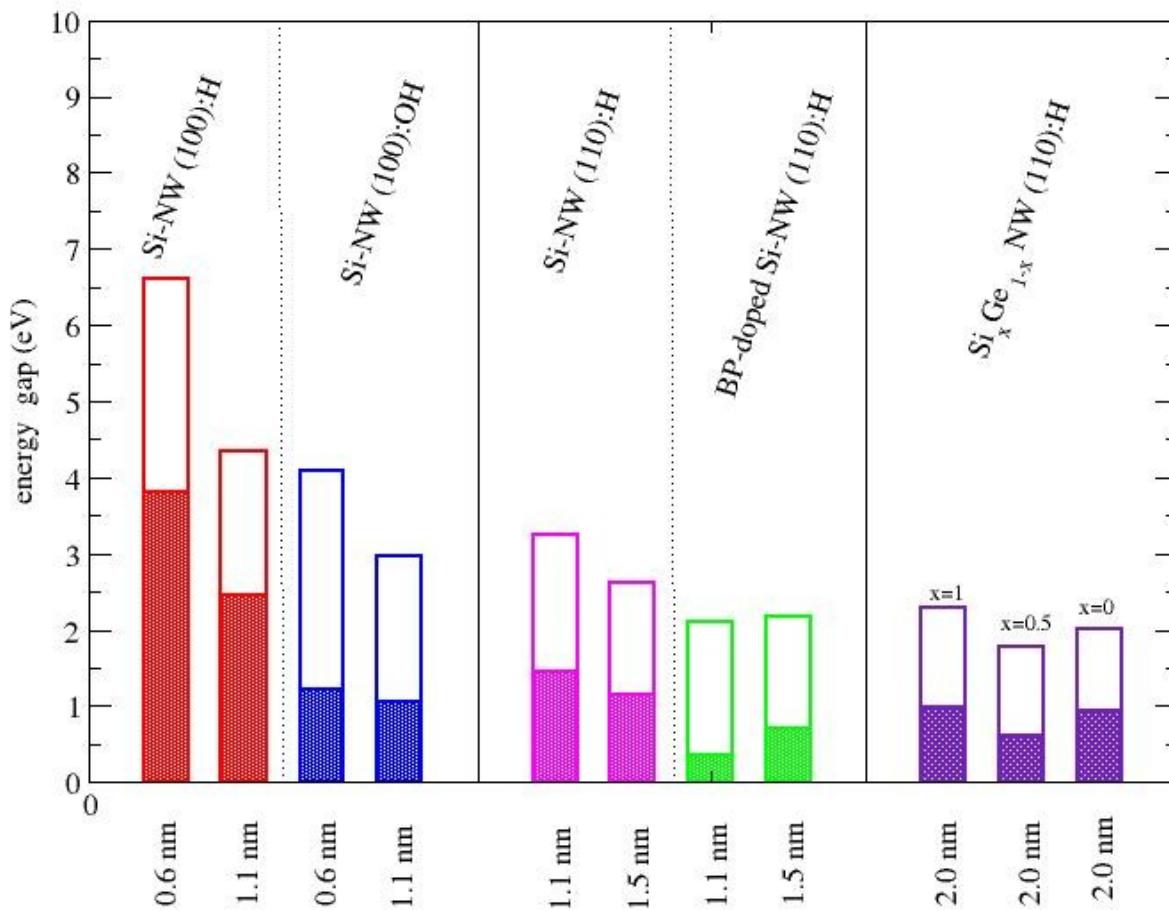
1D nanowires (Palummo)

Doping Si NWs: influence on the optical properties



1D nanowires (Palummo)

GW corrections:
doping and surface termination



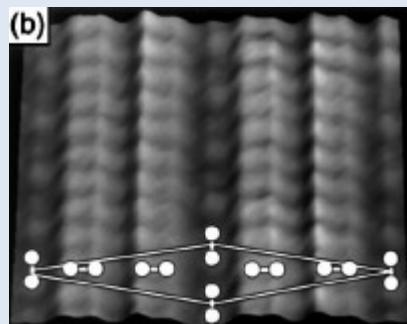
2D GaSb(001) (Hogan)

Structure and Optical Properties of Sb-stabilized

GaSb(001)

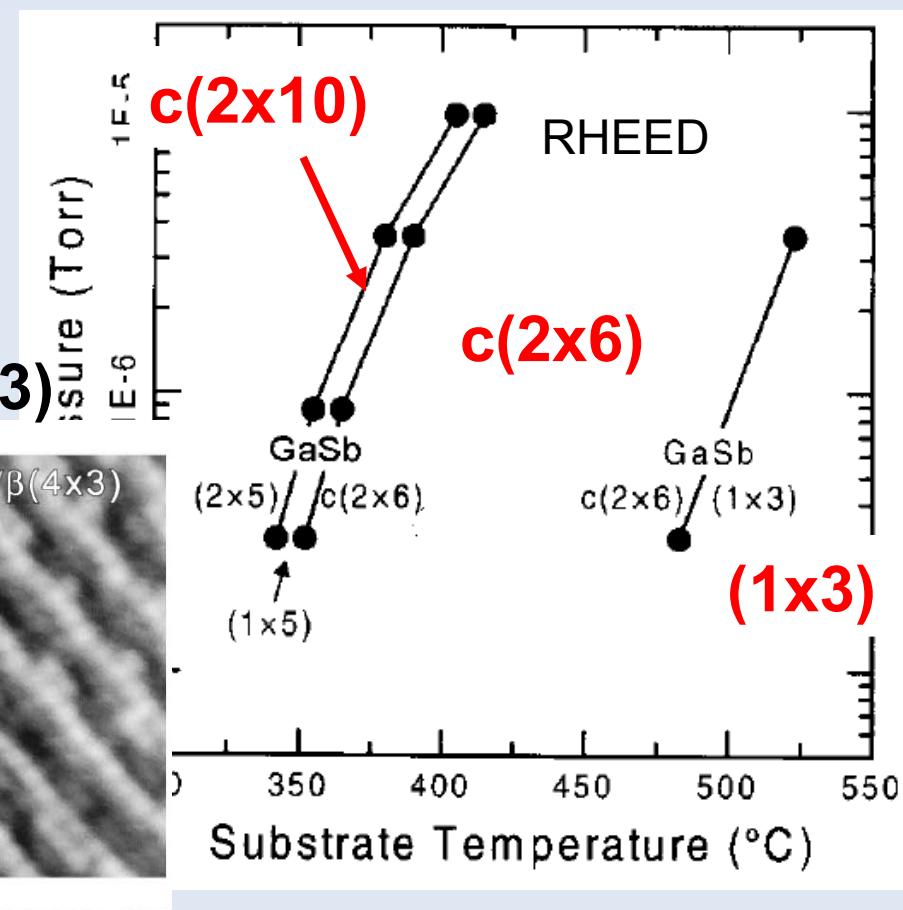
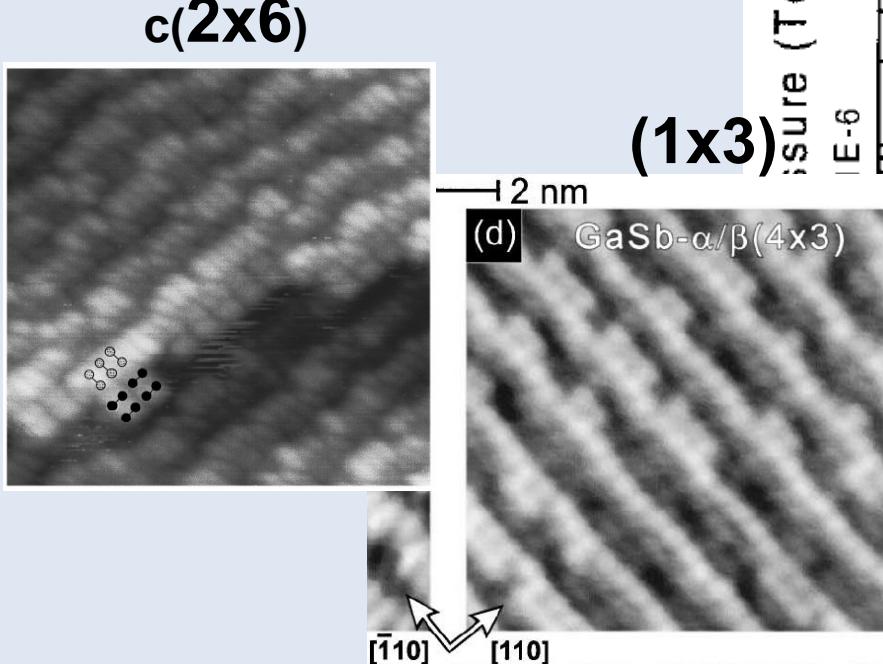
Conor Hogan, Rodolfo Del Sole (Rome)

Rita Magri (Modena)



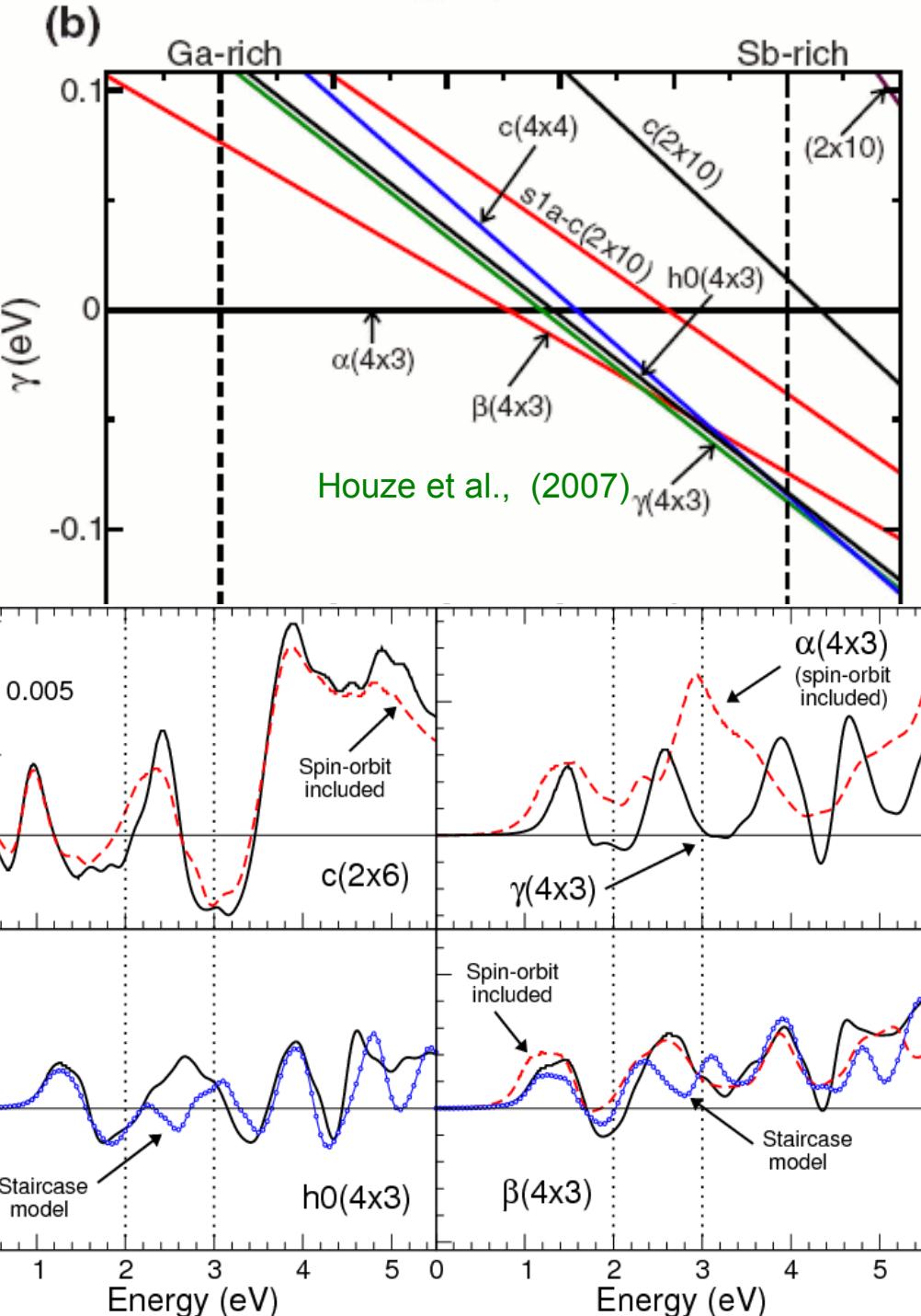
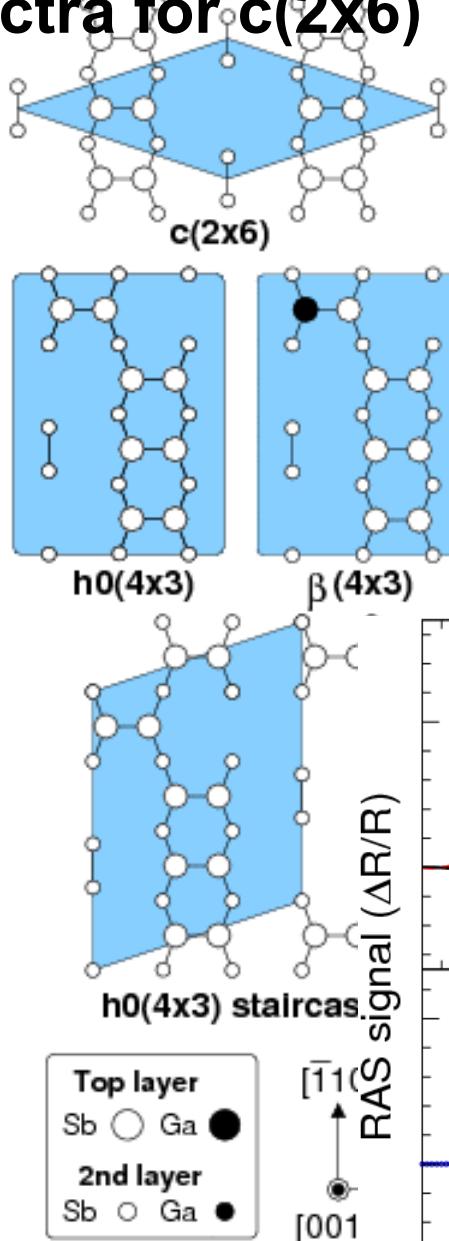
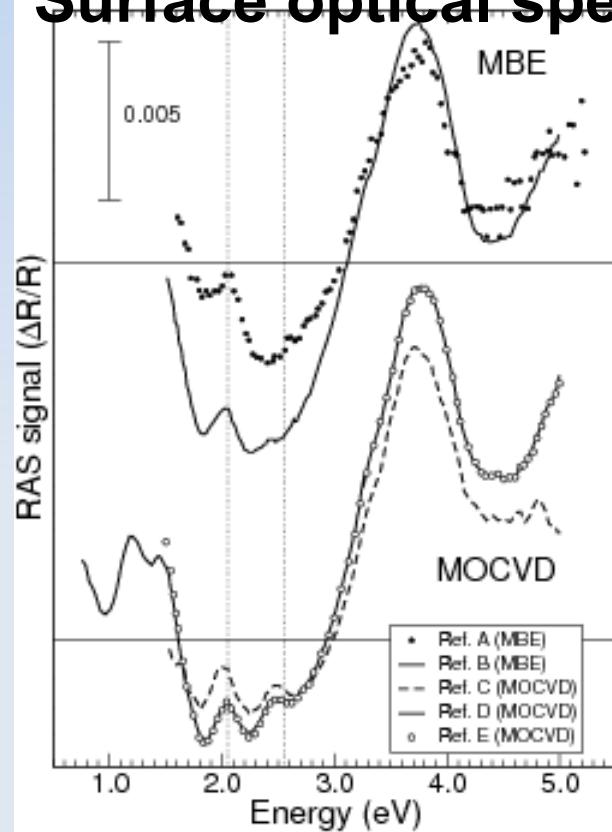
c(2x10)

Houze (2007)
Resch-Esser (1997)
Barvosa-Carter (2000)



2D GaSb(001) (Hogan)

Surface optical spectra for c(2x6)



All previous structures fail to reproduce correctly the experimental RA spectra

New structure

2D GaSb(001) (Hogan)

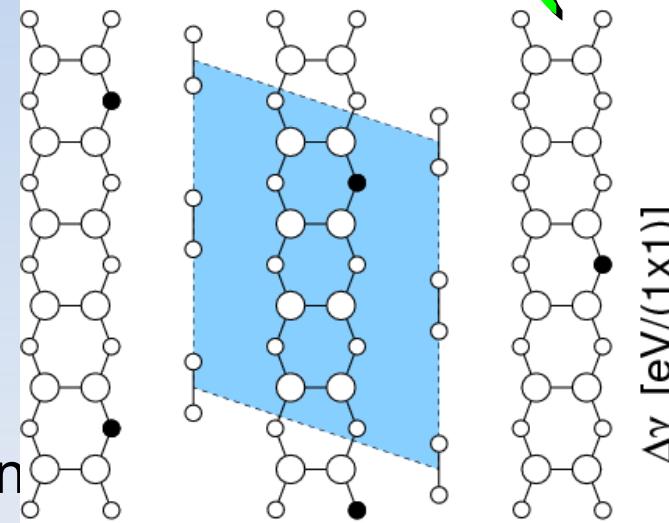
Second layer
Ga 'doping'

Electron counting
rule fulfilled
(1 Ga per (2x6) un)

Low energy,
semiconducting
surface
(stable at 500C?)

Better agreement
with RA spectra

True surface base
on long chains
with interruptions



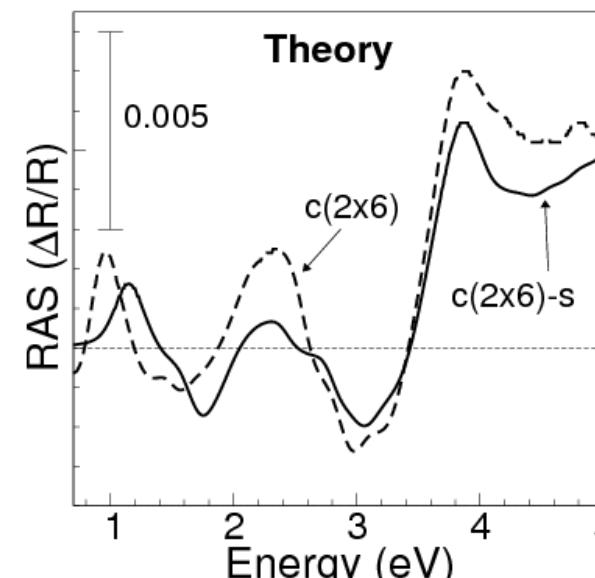
(a)

c(2x6)-s

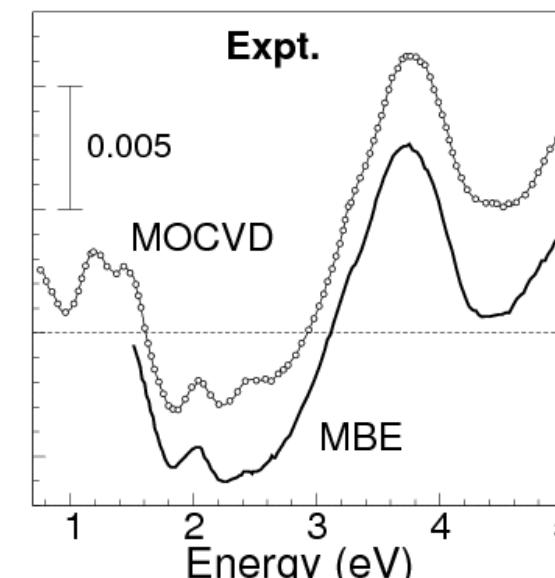
○ Sb
● Ga

[$\bar{1}10$]
[110]
[001]

(b)



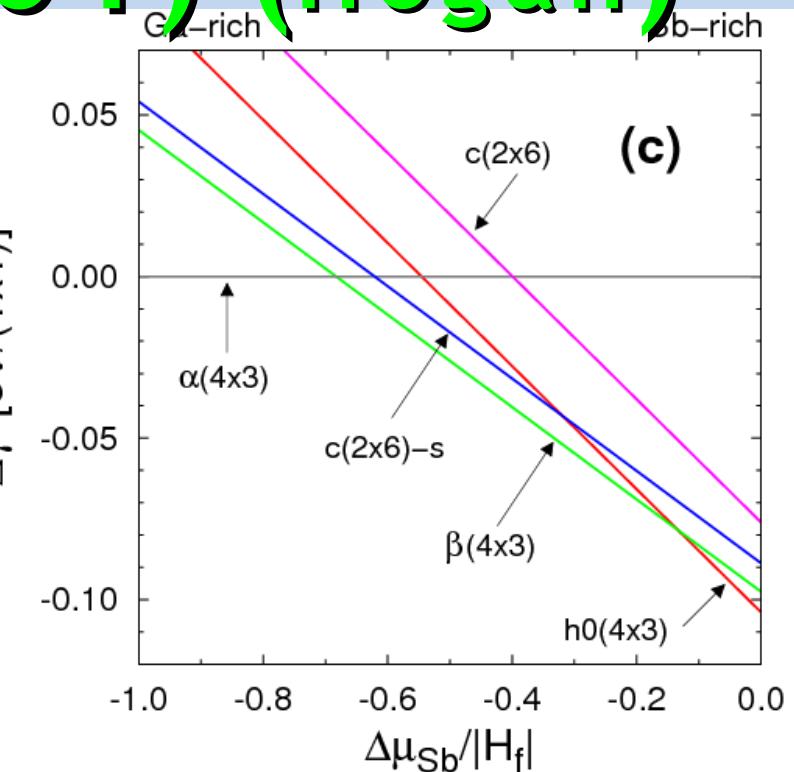
Theory



Expt.

MOCVD

MBE



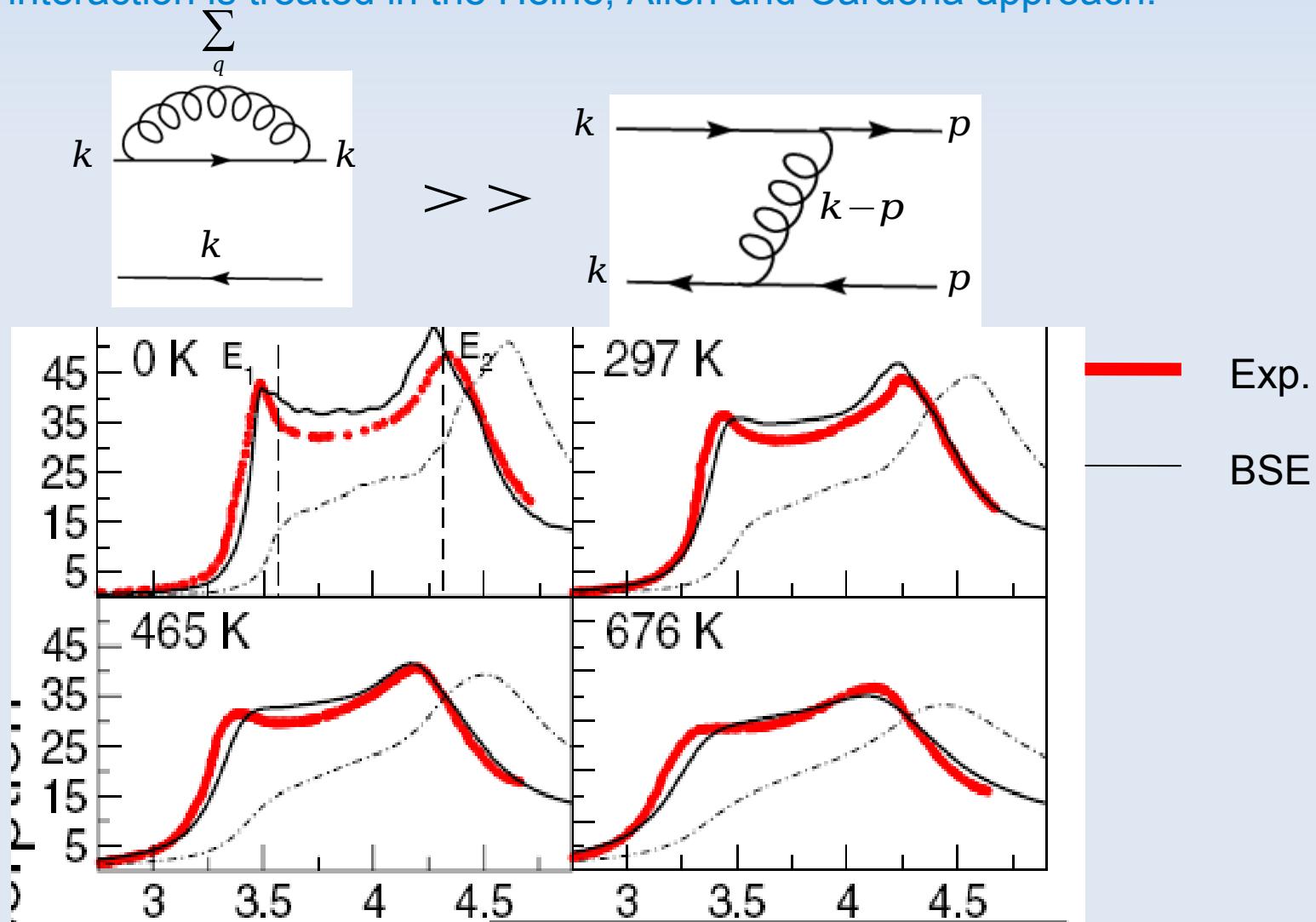
(c)

Ab-initio Finite-Temperatures Excitons

A. Marini Phys. Rev. Lett. **101**, 106405 (2008)

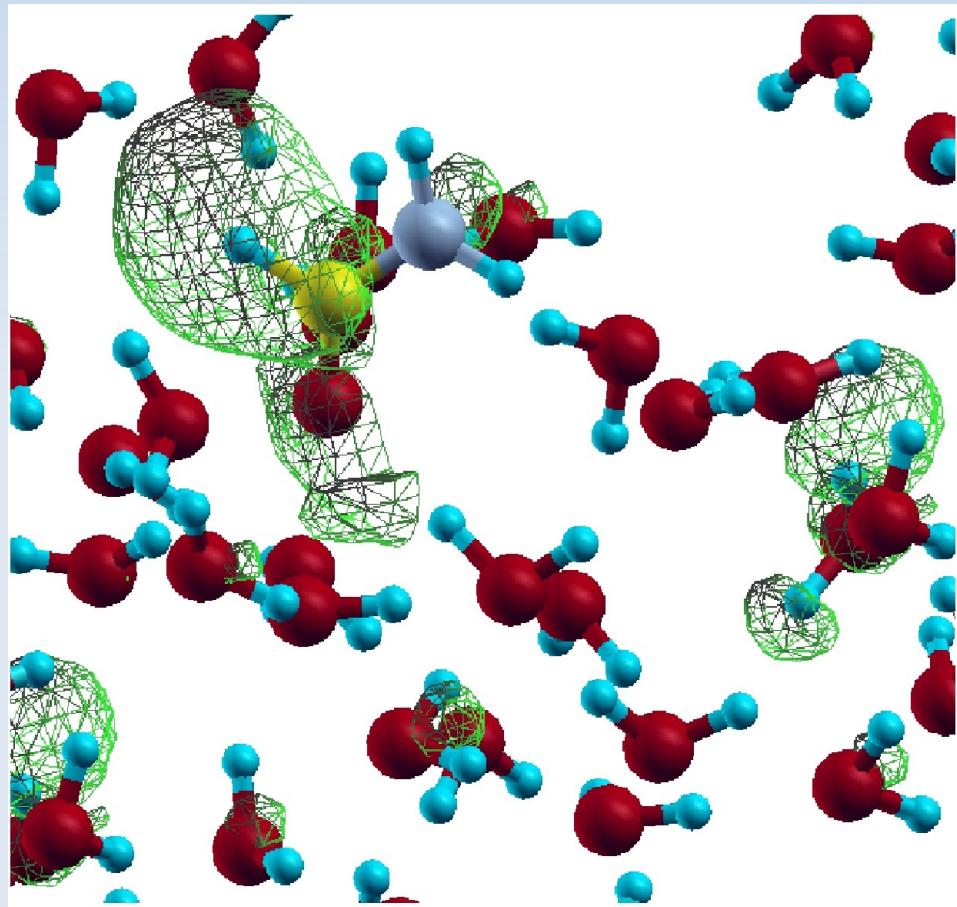
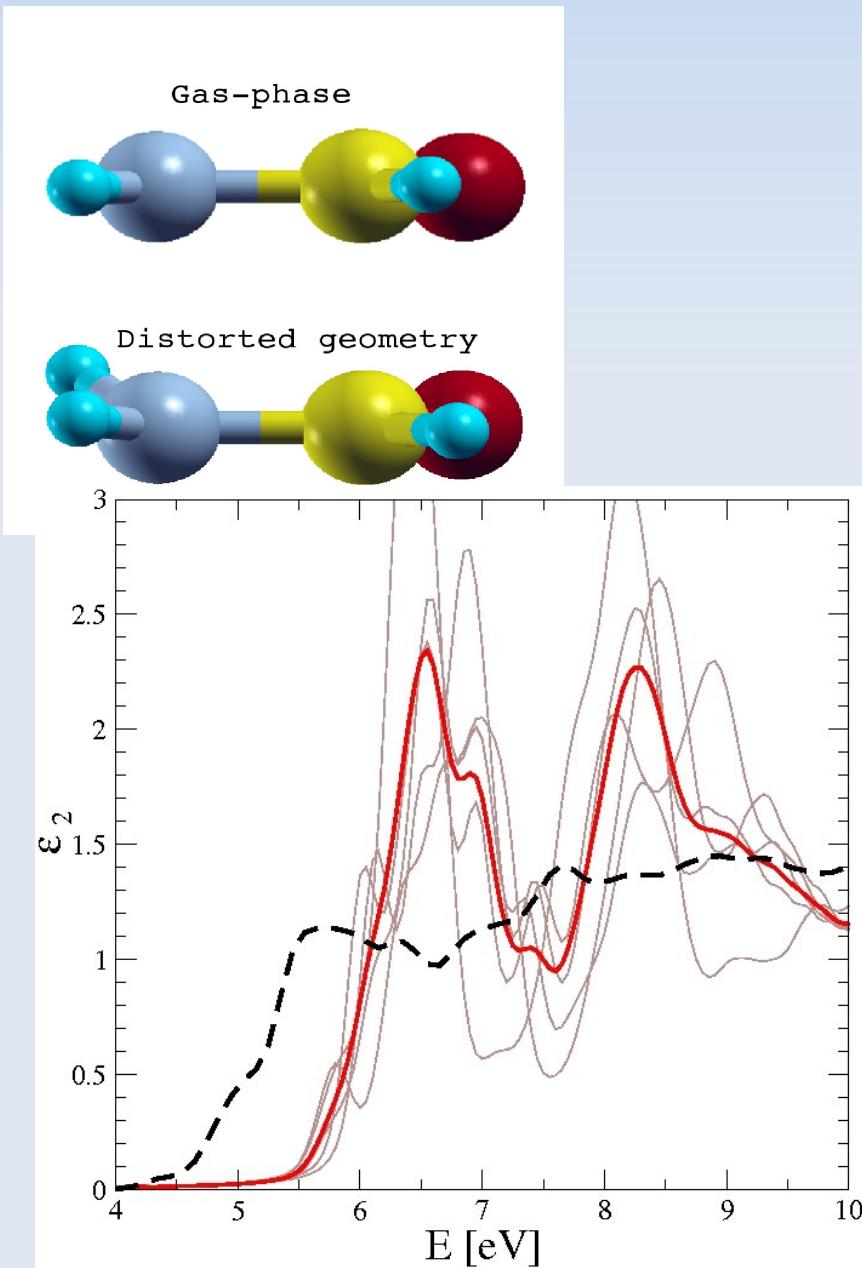
BSE is solved, in ab-initio manner, including the coupling with the lattice vibrations.

E-ph interaction is treated in the Heine, Allen and Cardona approach.



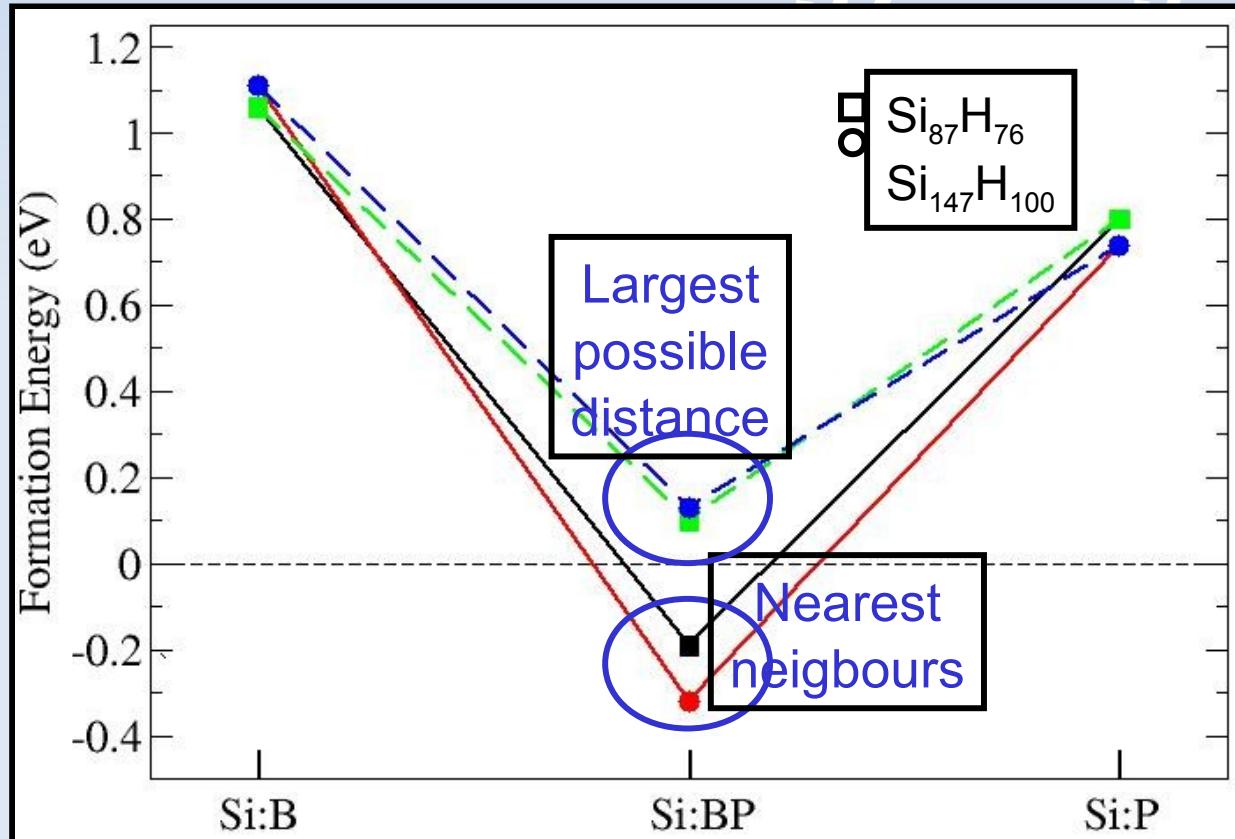
3D Bio (Garbuio-Pulci)

FORMAMIDE in water



0D: nanodots

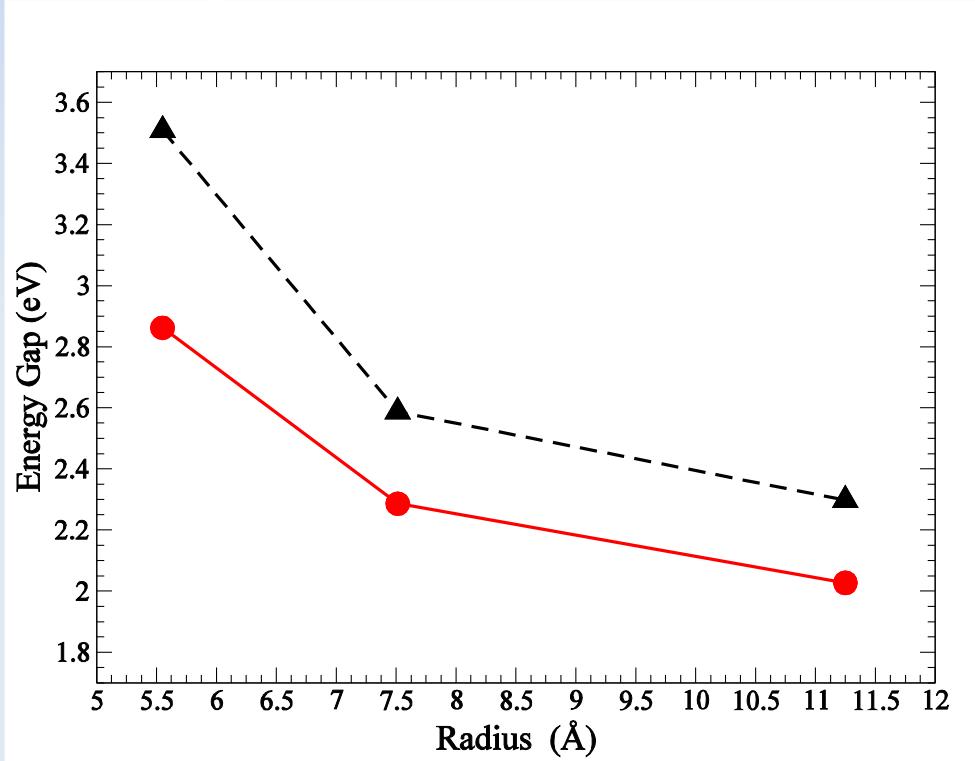
Formation energy: Single- vs co-doping



- Co-doping easier due to charge compensation
- No size dependence
 - Cantele et al, *Phys. Rev. B* 72, 113303 (2005)
 - S. Ossicini, F. Iori et al, *Appl. Phys. Lett.* 87 173120 (2005)
 - F. Iori, E. Degoli, S. Ossicini et al., *J. Lum.* (2006)

0D nanodots

Gap Homo-Lumo

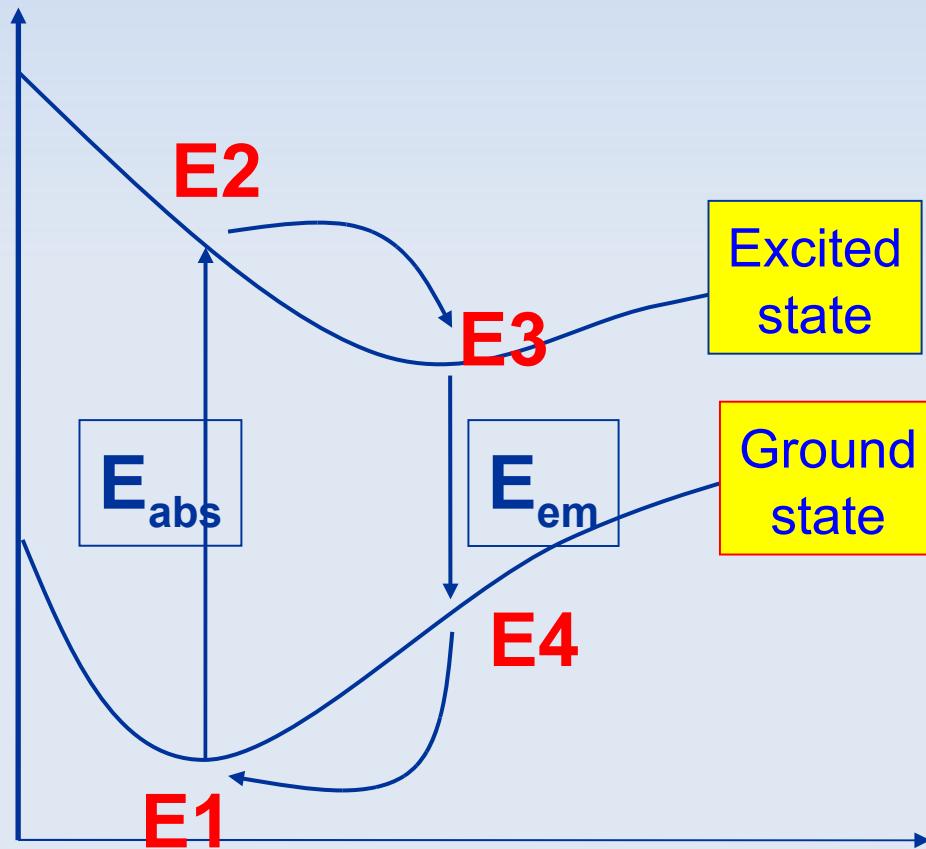


▲ undoped
● codoped

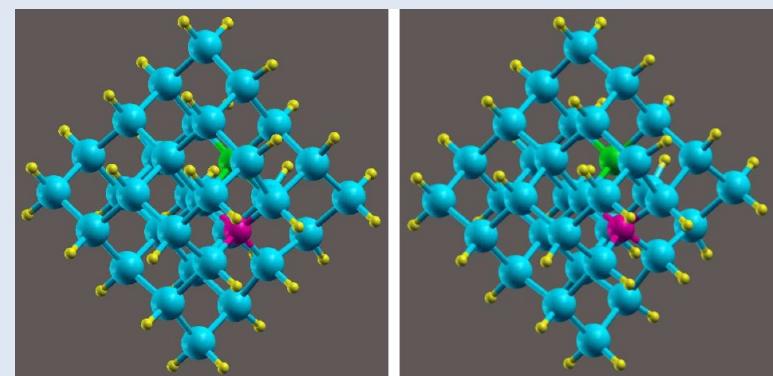
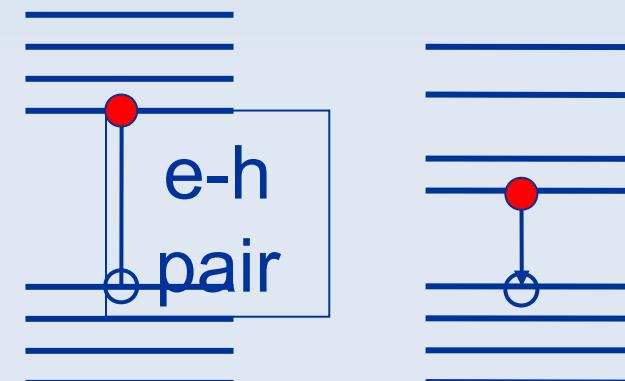
- Eg decrease as nc's size increase
- Eg of codoped is shifted towards lower energies

0D nanodots

Absorption and Emission processes

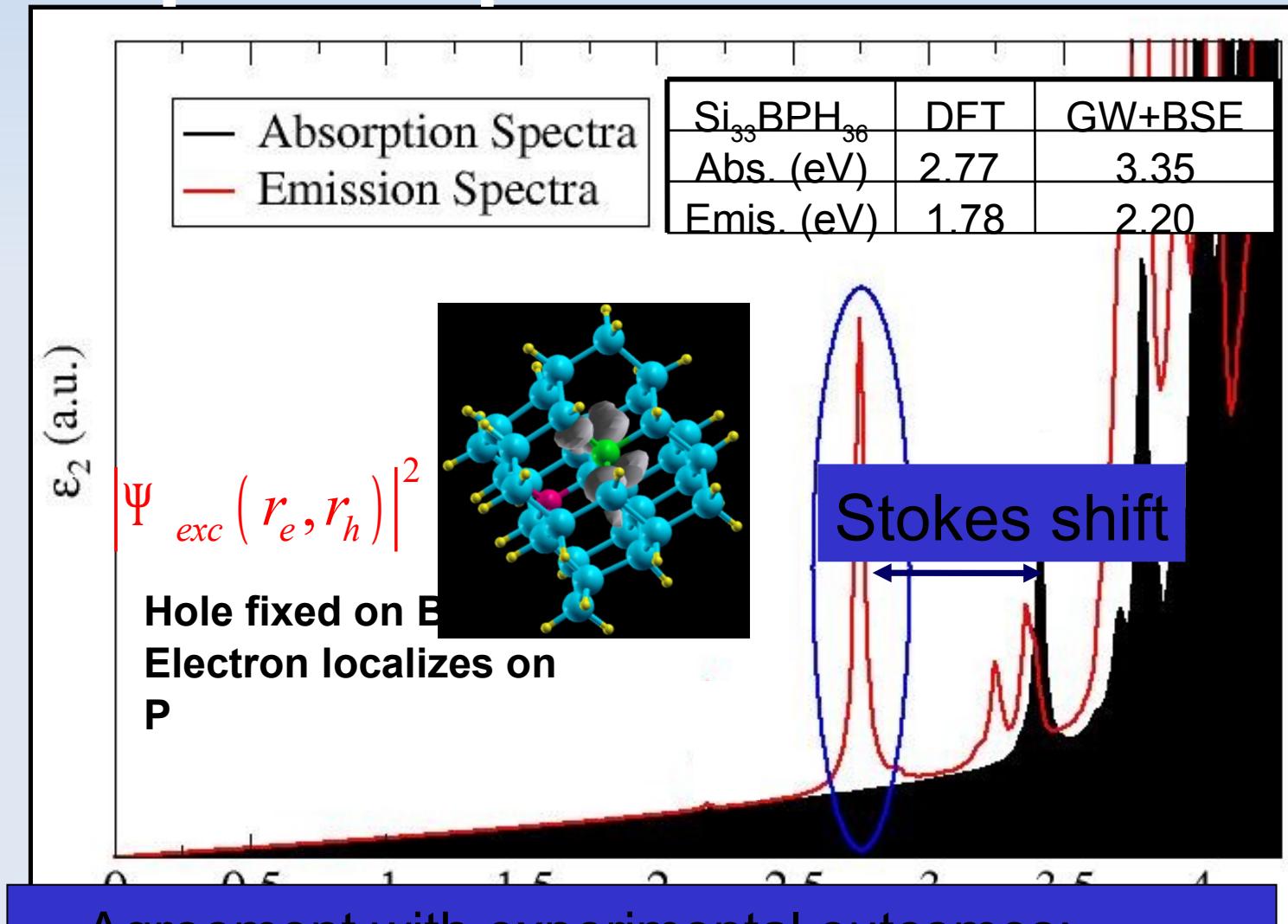


Absorption **Emission**

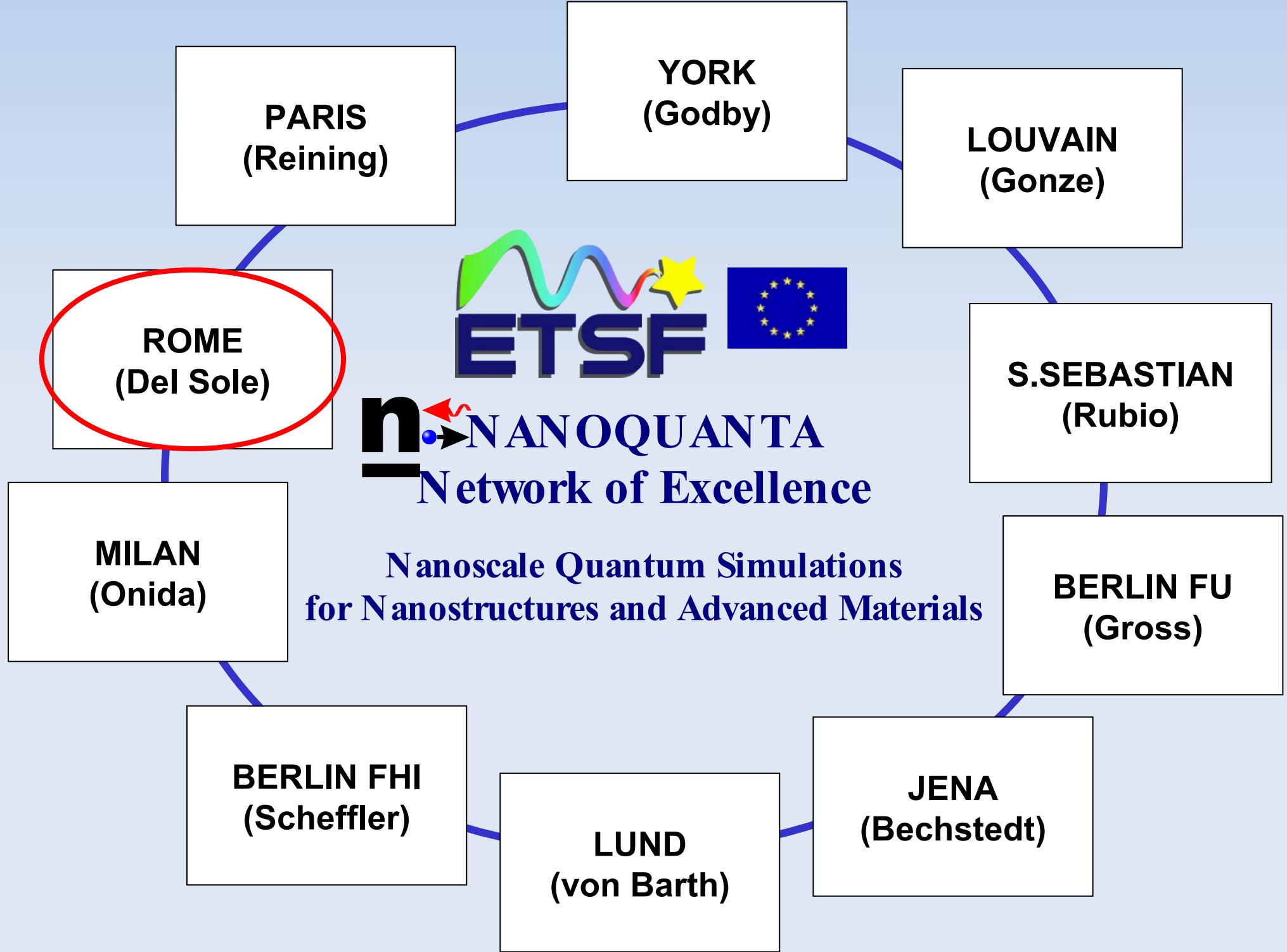


0D nanodots (Pulci-Marsili-Palummo)

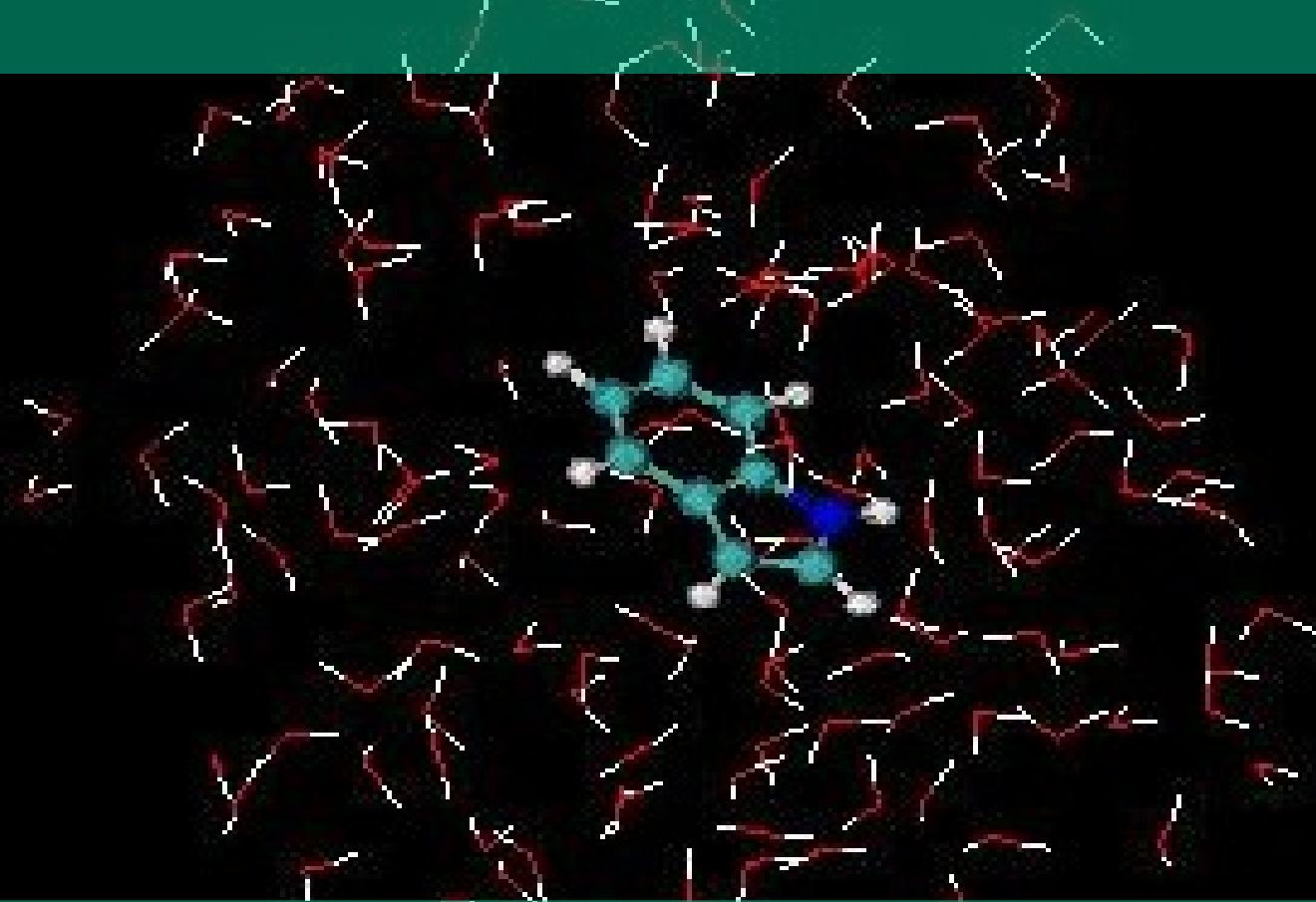
Optical spectra within GW+BSE



- Agreement with experimental outcomes:
 - M. Fujii, et al., *Appl. Phys. Lett.* 85, 1158 (2004)



MBPT/MM Method

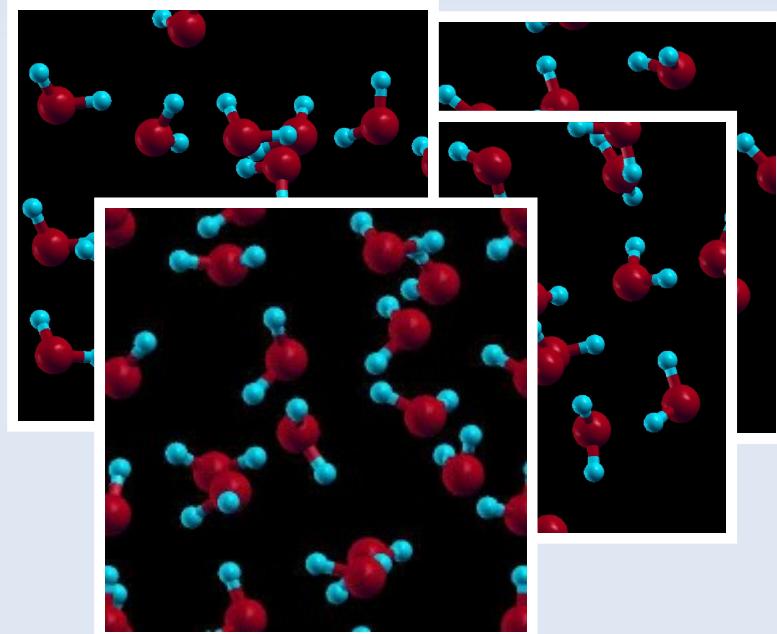
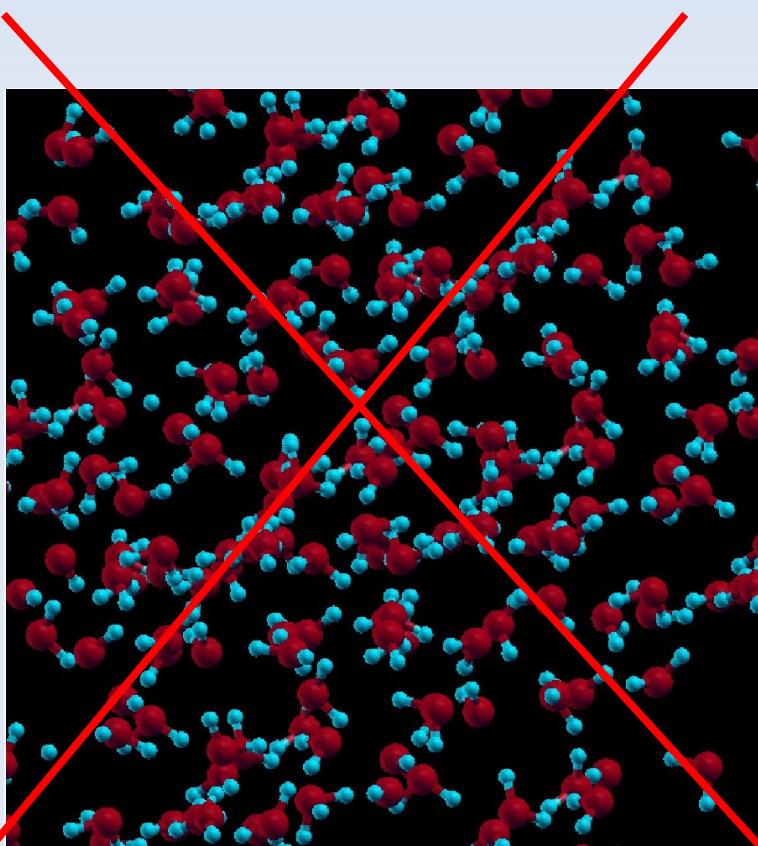


Application to indole in water solution

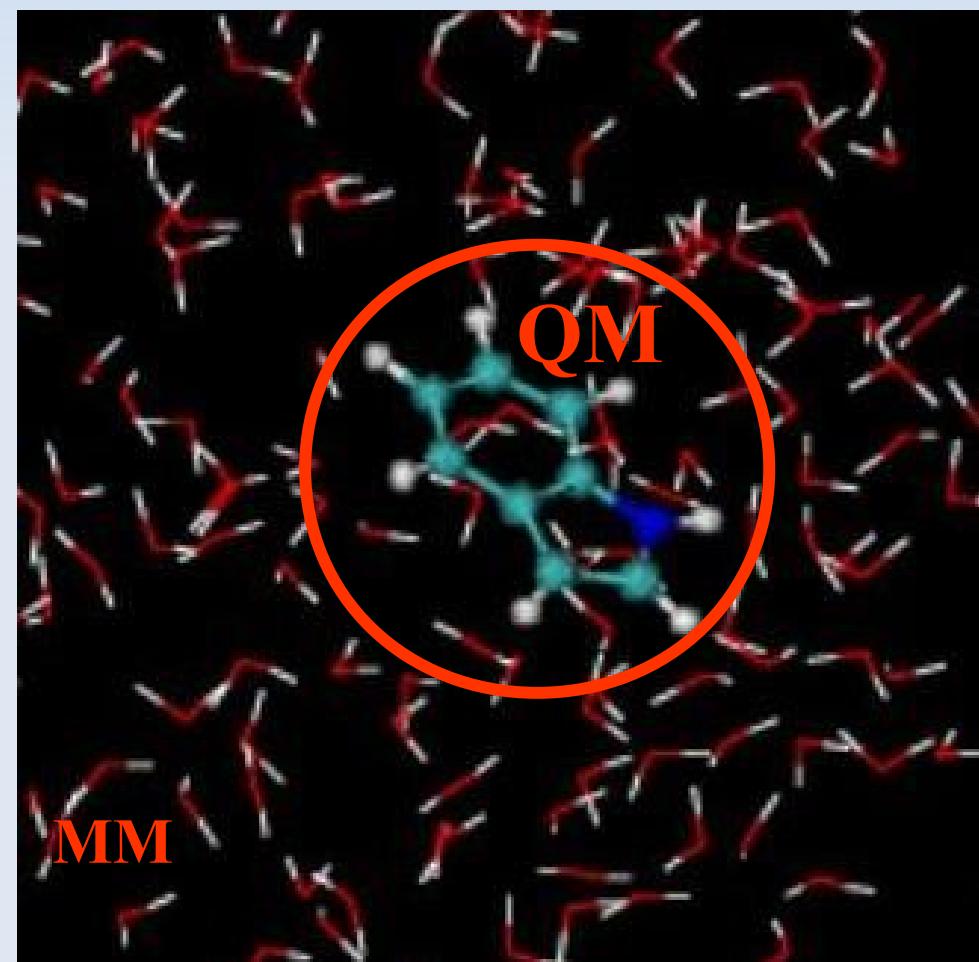
3D Bio (Garbuio-Pulci)

LIQUID WATER

Liquid water = disordered system= huge unit cell



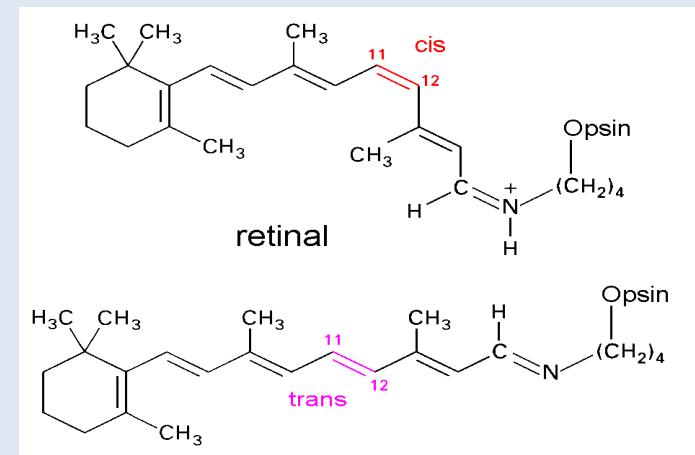
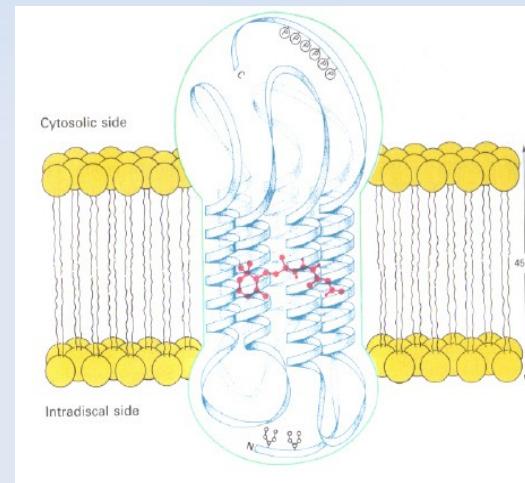
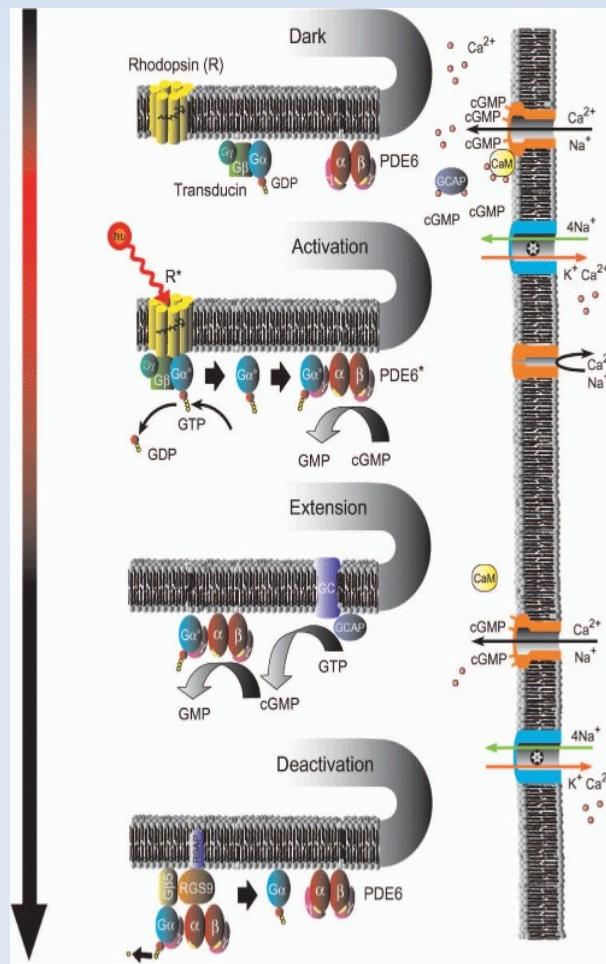
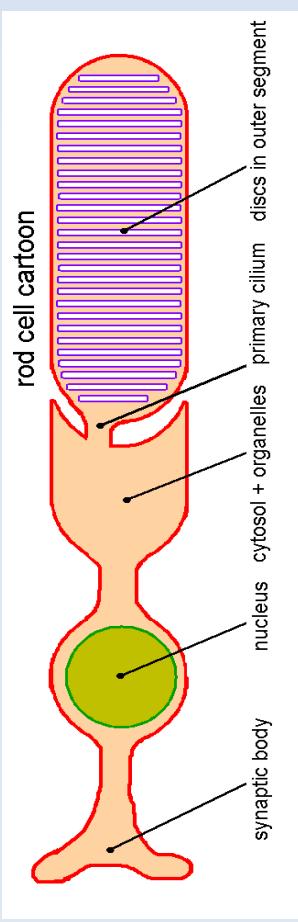
20 MD snapshots and
average of results.



3D Bio (Mosca Conte-Pulci)

PHOTO-ISOMERIZATION OF RETINAL IN RHODOPSIN

FIRSTS STEPS OF THE MECHANISMS OF VISION IN LIVING BEINGS



3D Bio (Mosca Conte-Pulci)

PHOTO-ISOMERIZATION OF RETINAL IN RHODOPSIN

MINIMAL BASE MODEL

